DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Costa
First Name:	Erminio
Title / Rank:	Director
College:	Psychiatry
Dept. / Unit:	Psychiatric Institute
Appointment	<u>100</u> %
University Cor	tract Period ¹]10 months/12 months/Summer

PART I. Conflict of Interest Screening				
Please attach an explanatory statement for all "yes" responses.				
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no				
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? yes* no				
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? yes yes yes yes yes no				
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether				
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"				
responses to the questions above. Lists in Part II do NOT				
suffice as explanation.				

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.

- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

	littless of time of day of day	Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	<u>Prospective</u>
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period

⋈ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.
Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PART IV. Cor	iflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	activity reported and to the best of my knowledge and in my judgment:
Ø	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As describ	lete if question 3 on page 1 of the form is answered affirmatively: ed by the academic staff member, the involvement of University of Illinois students, faculty f in his/her non-University activities does not appear to be detrimental to those individuals.
X □	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	roval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospectiv	e Activities (<u>2007-2008</u>)
X	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective	Activities (<u>2008-2009</u>)
\$	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
The above inform	nation is correct and complete to the best of my knowledge.
Unit Head Signa	ture Juny Whore MD Date 10/27/08
PART VI. Re	view and Approval of Activities by Dean and Others as Required.
Dean/Director/V (If approval need	
Additional Revie (Signatures)	Date
	Date

PART IV. Condictof Interest/Commitment Review (Please attach accopy of any referenced explanation)	
A. Based on the activity reported and to the best of my knowledge and in my judgment:	
No conflict of interest or commitment exists.	
A conflict of interest or commitment may exist, but is being monitored by the department. A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President).	<u>lent</u> .)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)	
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.	
Agree	
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice Presi	dent.)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.) A. Retrospective Activities (2007-2008)	
No retrospective activities are reported or all retrospective activities are approved.	.9.
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice Presented in the please attach and provided in the next administrative level (Dean, Director, or Vice Presented in the please attach and provided in the next administrative level (Dean, Director, or Vice Presented in the please attach and provided in the next administrative level (Dean, Director, or Vice Presented in the please attach and provided in t	sident.)
B. Prospective Activities (2008-2009)	
No prospective activities are reported or all prospective activities are approved.	
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice Presented).	sident.)
The above information is correct and complete to the best of my knowledge.	
Unit Head Signature (4) Date 10/5/08	
PART VE Review and Approval of Activities by Dean and Others as Required.	
PARA SAR CARRANTIN BAR RECOURSE A STATE OF THE SAR	
Dean/Director/VP Signature (If approval needed) Date	
Additional Reviews Date	.,
Date	

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Dove
First Name:	HENRY
Title / Rank:	Professor of Clin
College:	Medicine
Dept. / Unit:	Paychistry
Appointment	$\overline{\mathcal{QD}}$ %
University Con 9 months/	tract Period 1 10 months/\(\square\) 12 months/\(\square\) Summer

Contractor	P	ART I. Conflict of Interest Screening		
Please attach an explanatory statement for all "yes" responses.				
		Do you have a consulting or other financial relationship with a sponsor of your research? yes* no		
	2.	Do you or does any member of your family ²		
		have a managerial role or a significant ³		
		financial relationship with a company that does business with the University or with a		
		company in a field of your research? yes* y no		
	3.	Do you have non-University professional ℓ		
		activities or income producing activities		
		involving University of Illinois students, or		
		other faculty or staff? yes* y no		
	4.	Do you or does any member of your immediate		
.		family have any other relationships,		
1		commitments, or activities that might present or		
		appear to present a conflict of interest or		
		commitment with your University of Illinois		
		appointment? Such relationships may include		
		financial or fiduciary interests or		
		uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* X no		
	*1	Please <u>list</u> and <u>explain</u> in an attached statement any "yes"		
	ro	rease <u>ust</u> and <u>explain</u> in an attached statement any yes responses to the questions above. Lists in Part II do NOT		
		iffice as explanation.		

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
 - Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do <u>not</u> include amounts of compensation.

2007 2000

* Do not report "various" when reporting retrospective activity.

2000 2000

* Attach additional sheets if necessary

		Do you have an	2007-2008	Aug.16 - Aug.15
Nature of your activities		ownership interest in this company / organization?	Aug.16 - Aug.15 Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
				N. Carlotte
1		H	1	I

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Date 101

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward format the University and Indiana forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

erareelve (g	miliet of Interest/Communent Review (Plea	se attach a copy of any referenced explanation.)
A. Based on th	he activity reported and to the best of my knowledge and in my	judgment:
A	No conflict of interest or commitment exists.	t. II de Jacobsont
	A conflict of interest or commitment may exist, but is being the so, please attach an explanation and forward to the next act	antinibil att rone is in
	A conflict of interest or commitment may exist that warrants If so, please attach an explanation and forward to the next as	ummsnanve vere
B. Please con As descr and/or st	mplete if question 3 on page 1 of the form is answered affirmative ibed by the academic staff member, the involvement taff in his/her non-University activities does not appear.	of University of Illinois students, faculty ar to be detrimental to those individuals.
	Agree	
	Disagree If so, please attach an explanation and forward to the next a	administrative level (Dean, Director, or Vice President.)
	pproval of Activities (Please attach a copy of any re-	ferenced explanation:)
PART V. A	pproval of Activities (2007-2008)	1300 double 2.7 × 27 × 0 × 0 × 0 × 0 × 0 × 0 × 0 × 0 × 0 ×
A. Reirospor	No retrospective activities are reported or all retrospective	activities are approved.
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	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next	'administrative level (Dean, Director, or vice Fresidem.)
B. Prospect	ive Activities (<u>2008-2009</u>)	
	No prospective activities are reported or all prospective act	ivities are approved.
	Some or all declared prospective activities are not approved If so, please attach an explanation and forward to the next	4
	nformation is correct and complete to the best of my knowledge.	
The above in	iformation is correct and complete to the best and	Date 10508
Unit Head S	ignature (4) (1) (1)	Date (0/2/00
	Review and Approval of Activities by Dear	ond Others as Recoured.
PARELVE	Review and Approvation Acommies by Lead	
Dean/Direct (If approval	tor/VP Signature needed)	Date
Additional l (Signatures)		Date
(Signatures)	,	Date

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	ZHANG
First Name:	HUAIBO
Title / Rank: 🖁	eseurch Alligant prof
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	%
University Cont	ract Period ¹ 10 months/\(\frac{1}{\text{12 months}}\) Summer

	\mathbf{P}_{i}	ART I. Conflict of Interest Screening			
	Ple	ease attach an explanatory statement for all "yes" responses.			
		Do you have a consulting or other financial relationship with a sponsor of your research? yes* no			
	2.	Do you or does any member of your family ² have a managerial role or a significant ³			
		financial relationship with a company that does business with the University or with a company in a field of your research? yes* no			
	3.	Do you have non-University professional activities or income producing activities			
		involving University of Illinois students, or other faculty or staff?			
4	4. GY	Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or			
	•	appear to present a conflict of interest or			
		commitment with your University of Illinois appointment? Such relationships may include			
		financial or fiduciary interests or uncompensated activities. Report these whether			
		or not you believe the conflict is manageable. yes* uno			
	*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"				
	responses to the questions above. Lists in Part II do NOT				
	SU	ffice as explanation.			

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	<u>Prospective</u>
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III - Affi	
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I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Date 10/2/08

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the activity reported and to the best of my knowledge and in my judgment:
No conflict of interest or commitment exists.
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President).
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective Activities (2007-2008)
No retrospective activities are reported or all retrospective activities are approved.
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice Presiden)
B. Prospective Activities (2008-2009)
No prospective activities are reported or all prospective activities are approved.
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
The above information is correct and complete to the best of my knowledge.
Unit Head Signature () Date 10 24 08
PART VI. Review and Approval of Activities by Dean and Others as Required.
Dean/Director/VP Signature (If approval needed) Date
Additional Reviews (Signatures) Date
Date

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Wollwage	
First Name:	Seth	
Title / Rank:	Faculty/Staff	
College:	MEDICINE	
Dept. / Unit:	Behavioral Sciences	
Appointment	<u>100</u> %	
University Contract Period¹ ☐9 months/☐10 months/☐12 months/☐Summer		

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* 🖂 no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

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of Non-University	ALLOURNA A A O O	
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- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities		Do you have an ownership interest in this company / organization?	2007-2008 Aug.16 - Aug.15 Retrospective	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in
(see instructions for examples)	For whom (e.g., company/ organization)	(If so, please explain in an attached statement.)	Days Spent During this Reporting Period	Current Reporting Period

☑ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Date 10 22 0

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PART	IV. Co	nflict of Interest/Commitment Review (Please	attach a copy of any referenced explanation.)
A.	Based on the	e activity reported and to the best of my knowledge and in my jud	gment.
	X-	No conflict of interest or commitment exists.	v 11 d days-dwant
		A conflict of interest or commitment may exist, but is being mo If so, please attach an explanation and forward to the next adm	inistrative level (Dean, Director, or rice residence)
		A conflict of interest or commitment may exist that warrants fur If so, please attach an explanation and forward to the next adm	rther review. inistrative level (Dean, Director, or Vice President.)
В.	A 1	polete if question 3 on page 1 of the form is answered affirmatively ped by the academic staff member, the involvement of ff in his/her non-University activities does not appear	University of infinite students, factory
		Agree	
		Disagree If so, please attach an explanation and forward to the next adm	inistrative level (Dean, Director, or Vice President.)
PART	V. App	proval of Activities (Please attach a copy of any telero	enced explanation.)
A.	Retrospecti	ve Activities (<u>2007-2008)</u>	
	X	No retrospective activities are reported or all retrospective acti	vities are approved.
		Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next adm	ninistrative level (Dean, Director, or Vice President.)
B.	Prospective	e Activities (<u>2008-2009</u>)	
	A	No prospective activities are reported or all prospective activities	ies are approved.
		Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next adm	ninistrative level (Dean, Director, or Vice President.)
Th	ne above info	ormation is correct and complete to the best of my knowledge.	, 1
	nit Head Sign	k M L L L L L L L L L L L L L L L L L L	ate
		V	•
PAR	TVI. R	eview and Approval of Activities by Dean a	nd Others as Required.
	ean/Director/ f approval ne	/VP Signature Deeded)	ate
	dditional Rev Signatures)	views D	ate
·		D	rate

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	WIner	
First Name:	Jerome	
Title / Rank:	Emeritus Professor	
College:	Medicine	
Dept. / Unit:	Psychiatry	
Appointment	<u>31</u> %	
University Contract Period¹ ☐9 months/☐10 months/☐12 months/☐Summer		

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff? yes* \(\sqrt{no} \)
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
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commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* no
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PART II. Listing of Non-University Income Producing Activities

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- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for	For whom (e.g.,	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period
examples)	company/ organization)	an attached statement.)	ting reporting 1 error	Cuntum stoponing
				•

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

eume a. Npu MM Date 9-22-08

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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A. Based on the activity reported and to the best of my knowledge and in my judgment:
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A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
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Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information is conject and complete to the best of my knowledge.
Unit Head Signature (Junit Head Signature Date 10/1/08
PART VI. Review and Approval of Activities by Dean and Others as Required.
Dean/Director/VP Signature (If approval needed) Date
Additional Reviews (Signatures) Date
Date

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

	Whitfield
Last Name:	Whiltlelf
First Name:	Harvey J. Associate Professor of Psychiatry
Title / Rank:	Assectate Protestry
College:	Medicine
Dept. / Unit:	Prychiatry
Appointment •	52% httract Period Indefinite
University Cor	ntract Period \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes*
2. Do you or does any member of your family ² have a managerial role or a significant ³ financial relationship with a company that
does business with the University or with a company in a field of your research?
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☐ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes*
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

N/A

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
				1

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approva

___ Date __

09/25/2008

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

entitle gyfatheith ei

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

eatra ave (con	Hict of Interest Commitment Review (Please attach a copy of any referenced explanation)
\ A	activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
As describe	ete if question 3 on page 1 of the form is answered affirmatively: ed by the academic staff member, the involvement of University of Illinois students, faculty f in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,)
PART V. App	roval of Activities (Please attach a copy of any referenced explanation.)
<u>^</u> ^	e Activities (<u>2007-2008)</u> No retrospective activities are reported or all retrospective activities are approved.
**	•
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,
B. Prospective	Activities (2008-2009)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above inform	nation is correct and complete to the best of my knowledge.
Unit Head Signa	ture (1) (7/08
TRANÇURAVIR EN REG	view and Approval of Activities by Dean and Others as Required.
Dean/Director/V (If approval need	
Additional Reviews (Signatures)	Date
	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	West
First Name:	Amy
Title / Rank:	Assistant Professor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	<u>100</u> %
University Cor	ntract Period ¹]10 months/\(\square\)12 months/\(\square\)Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? Uyes* In no
2. Do you or does any member of your family ²
have a managerial role or a significant
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
of not you believe the commet is managered.
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization) none	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.) none	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period none	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period none

☑ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation
and the University's Policy on Conflicts of Commitment and Interest and the above information is
true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.
1
Academic Staff Member's Signature / My C. What Date 10/7/08
Please submit to your unit head for administrative review and approval.

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the activity reported and to the best of my knowledge and in my judgment:
No conflict of interest or commitment exists.
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President).
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective Activities (2007-2008)
No retrospective activities are reported or all retrospective activities are approved.
Some or all retrospective activities are not approved. <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President</u>
B. Prospective Activities (2008-2009)
No prospective activities are reported or all prospective activities are approved.
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information is correct and complete to the best of my knowledge.
Unit Head Signature (Muyllulu M) Date 16 [10]
PART VI. Review and Approval of Activities by Dean and Others as Required.
Dean/Director/VP Signature (If approval needed) Date
Additional Reviews (Signatures) Date
Date

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	WALTON
First Name:	Laura
Title / Rank:	Coordinator
College:	MEdiciNE
Dept. / Unit:	Psychiatry/IJR
Appointment A	1 <u>00</u> %
University Cor	ntract Period ¹ 10 months/ 12 months/ Summer

DADEL C. C. C. A. C. A. C.	
PART I. Conflict of Interest Screening	
Please attach an explanatory statement for all "yes" responses.	
1. Do you have a consulting or other financial	
relationship with a sponsor of your research? yes* n	o
2. Do you or does any member of your family ²	
have a managerial role or a significant ³	
financial relationship with a company that	
does business with the University or with a	
company in a field of your research? yes* In	0.
3. Do you have non-University professional	
activities or income producing activities	
involving University of Illinois students, or	/
other faculty or staff?	o
4. Do you or does any member of your immediate	
family have any other relationships,	
commitments, or activities that might present or	
appear to present a conflict of interest or	
commitment with your University of Illinois	
appointment? Such relationships may include	
financial or fiduciary interests or	
uncompensated activities. Report these whether	
	10
*Please list and explain in an attached statement any "yes"	
responses to the questions above. Lists in Part II do NOT	
suffice as explanation.	
T. 13. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.	

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

2007-2008 2008-2009 Do you have an ownership interest in this Aug.16 - Aug.15 Aug.16 - Aug.15 Prospective Nature of your activities company / organization? Retrospective Days Spent During Days to be Spent in (see instructions for (If so, please explain in For whom (e.g., Current Reporting Period company/ organization) an attached statement.) this Reporting Period examples)

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PART IV Co	nflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on th	e activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	bed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	forwarderenced explanation.)
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.) ive Activities (2007-2008)
	No retrospective activities are reported or all retrospective activities are approved.
A	
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	ve Activities (2008-2009)
X	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above inf	ormation is correct and complete to the best of my knowledge.
	Date [0] U 08
Unit Head Sig	
PART VI. I	Review and Approval of Activities by Dean and Others as Required.
Dean/Directo (If approval r	r/VP Signature Date
Additional Ro (Signatures)	eviews Date
	Date

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Wakschlag
First Name:	Lauren
Title / Rank:	Associate Professor/Psychiatry
College:	Medicine
Dept. / Unit:	Psychiatry/IJR
Appointment	<u>100</u> %
University Cor	ntract Period¹]10 months/⊠12 months/⊡Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* x no
2. Do you or does any member of your family ² have a managerial role or a significant ³ financial relationship with a company that does business with the University or with a
company in a field of your research? yes* 🗵 no
3. Do you have non-University professional activities or income producing activities
involving University of Illinois students, or other faculty or staff? yes* 🗵 no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or
appear to present a conflict of interest or commitment with your University of Illinois
appointment? Such relationships may include financial or fiduciary interests or
uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income	Producing Activities
* 16 von do not need to	* Do not include amounts of compensation.

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not report "various" when reporting retrospective activity. * Attach additional sheets if necessary 2007-2008 Do you have an

Aug.16 - Aug.15 Retrospective Days Spent During 2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in

ownership interest in this company / organization? Nature of your activities (If so, please explain in For whom (e.g., (see instructions for Current Reporting Period this Reporting Period an attached statement.) company/ organization) examples) ▼ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III	Affirmation	

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PART IV. Co	onflict of Interest/Commitment Review (Please attach a copy of any referenced explanations)
A. Based on th	e activity reported and to the best of my knowledge and in my judgment:
K	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As descri	bed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospect	ive Activities (<u>2007-2008)</u>
$ ot\!\! egin{picture}(1,0) \put(0,0){\line(0,0){10}} \put$	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	re Activities (<u>2008-2009</u>)
X	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above info	ormation is correct and complete to the best of my knowledge.
Unit Head Sign	1.100
PART VI. R	Review and Approval of Activities by Dean and Others as Required.
Dean/Director (If approval ne	
Additional Re (Signatures)	views Date
	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Tueting	
First Name:	Patricia	
Title / Rank:	Research Assistant Professor	
College:	Medicine	
Dept. / Unit:	Psychiatry	
Appointment	<u>51</u> %	
University Contract Period¹ ☐9 months/☐10 months/☒12 months/☐Summer		

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* you not
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff?
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.
Suffice and confirmation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Pattu Please submit to your unit head for administrative review and approval. T

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PART IV. Co	onflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	ne activity reported and to the best of my knowledge and in my judgment:
M	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
Δc descri	applete if question 3 on page 1 of the form is answered affirmatively: ibed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospect	tive Activities (2007-2008)
***************************************	No retrospective activities are reported or all retrospective activities are approved.
<u>.</u> 2.	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	re Activities (2008-2009)
X	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above info	ormation is correct and complete to the best of my knowledge.
PART VI. R	Review and Approval of Activities by Dean and Others as Required.
Dean/Director (If approval no	
Additional Re (Signatures)	views Date
	Date

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Thompson-Berry	
First Name:	Cynthia	
Title / Rank:	Assistant Professor	
College:	Medicine	
Dept. / Unit:	Psychiatry	
Appointment	<u>70 </u> %	
University Contract Period¹ ☐9 months/☐10 months/区12 months/☐Summer		

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* 🗵 no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a company in a field of your research? yes* 🗵 no
3. Do you have non-University professional
activities or income producing activities
activities of income producing activities
involving University of Illinois students, or other faculty or staff?
Office raduity of starr.
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week
- * Do not include amounts of compensation. * Do not report "various" when reporting retrospective activity.

2007 2008

- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	ownership interest in this company / organization? (If so, please explain in an attached statement.)	Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature U Please submit to your unit head for administrative review

2008-2009

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines 'Family' as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who cam more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Skowronski	
First Name:	Francesca	
Title / Rank:	Visiting Assistant Professor	
College:	Medicine	
Dept. / Unit:	Psychiatry	
Appointment	100%	
University Contract Period¹ ☐9 months/☐10 months/☐12 months/☐Summer		

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? yes* no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do <u>not</u> include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
	1	l	<u> </u>	L

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Frances ca Shrowall Date 9/3010

Please submit to your unit head for administrative review and approval.

- 1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.
- ² University Policy defines "Family" as one's spouse and children.
- Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)
- ⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

	nflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	e activity reported and to the best of my knowledge and in my judgment:
\bar{\psi}	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As describ	polete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty ff in his/her non-University activities does not appear to be detrimental to those individuals.
A	Agree
	Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
PART V. Api	oroval of Activities (Please attach a copy of any referenced explanation.)
\$2000000000000000000000000000000000000	ve Activities (2007-2008)
X)'	No retrospective activities are reported or all retrospective activities are approved.
\Box	Some or all retrospective activities are not approved.
	If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective	Activities (<u>2008-2009</u>)
Æ	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above infor	mation is correct and complete to the best of my knowledge.
Unit Head Sign	ature Church Date 10/27/08
PART VI. Re	eview and Approval of Activities by Dean and Others as Required.
Dean/Director/\(\)(If approval nee	
Additional Rev (Signatures)	Date
	Date

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Eiger
First Name:	Rodney
Title / Rank:	Asst.Professor, Clincal Psychiatry
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	<u>25</u> %
University Co9 months/_	ntract Period ¹ 10 months/Summer

	PARTI. Conflict of Interest Screening
	Please attach an explanatory statement for all "yes" responses.
	1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* no
	2. Do you or does any member of your family have a managerial role or a significant.
	financial relationship with a company that does business with the University or with a
	company in a field of your research? yes* \(\square{1} \)
	3. Do you have non-University professional activities or income producing activities at the Tessableou
	involving University of Illinois students, or MMC other faculty or staff?
	4. Do you or does any member of your immediate family have any other relationships,
્રે	commiments, or activities that might present or
	commitment with your University of Illinois appointment? Such relationships may include
	financial or fiduciary interests or uncompensated activities. Report these whether
	or not you believe the conflict is manageable. yes* in no
	*Please list and explain in an attached statement any "yes"
	responses to the questions above. Lists in Part II do NOT
	suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009 j
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Regrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in a	Days Spent During	Days to be Spent inc.
examples)	company/organization)	an attached statement.)	this Reporting Period	Current Reporting-Period
·				
)				<u>.</u>
		,		
C _B)−N		<u> </u>		

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur skying the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

e 10/23/08

Check all that apply. The University contract period includes evenings, weekens and holidays during the term of employment

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (S. Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% or the Covernor's salary bave either (a) ownership interests in excess of 7.1.2% or (h) entitlements to annual income in amounts in excess of the salary of the Covernor's salary \$1.77.412 as of July 1, 2008.)

The University Policy on Conflicts of Commitment and Interest is available at: http://www.epaa.ar/finois.ash/pcheies/conflict_toc.asp

2008 - 2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

P	ART	IV. Co	nflict of Interest/Commitment Review (flease attach a copy of any referenced explanation)	
	Α.	Based on the	activity reported and to the best of my knowledge and in my judgment:	
		☆	No conflict of interest or commitment exists.	
			A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)	J
			A conflict of interest or commutment may exist that warrants further review. If so, please anach an explanation and forward to the next administrative level (Dean, Director, or Vice Bresident)	ij
)	В.	As describ	oleie if question 3 on page 1 of the form is answered affirmatively: oed by the academic staff member, the involvement of University of Illinois students, faculty ff in his/her non-University activities does not appear to be detrimental to those individuals.	
		$\overline{\mathbf{W}}$	Agree	
		$\overline{\varphi}$	Disagree	
<u>.</u>			If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President	.)
P	ARI	V. Apr	oroval of Activities (Please attach a copy of any telerenced explanation).	
	Α,.		ve Activities (<u>2007-2008)</u>	
		, A	No retrospective activities are reported or all retrospective activities are approved.	
			Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)	<u>(</u> .)
	В.	Prospective	Activities (2008-2009)	
		A	No prospective activities are reported or all prospective activities are approved.	
٠			Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)	J
	The	e above infor	mation is correct and complete to the best of my knowledge.	
		nit Head Sign	α α α α α	
1	AR	rvi. R	eview and Approval of Activities by Dean and Others as Required.	48.88 18.86 18.86 18.86 18.86 18.86 18.86 18.86 18.86 18.86 18.86 18.86
	De	ean/Director/	VP Signature	
:		approval nee		
		lditional Rev ignatures)	iews Date	
3			Date	
:				

2008;- 2009

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Ghad	liali
First Name:	Naf	isa
Title / Rank:	Assistant	Professor of
College:	Clinica	Professor of 1 Psychicary diche
Dept. / Unit:		hiary
Appointment	/	J
University Co	ontract Period 10 months/[√12 months/□Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* no
2. Do you or does any member of your family have a managerial role or a significant have
financial relationship with a company that does business with the University or with a
company in a field of your research? yes* I no
3. Do you have non-University professional activities or income producing activities
involving University of Illinois students, or
other faculty or staff? yes* Ino
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* / no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

DADWIT	T 2 - 42	of Man	[Inivaraity	Ingoma	Droducina	Activities
PAKI II.	Listing	01 14011-	omiver sity	Income	Producing	ACHVILLOS

- * If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

2008-2009 Do you have an 2007-2008 Aug.16 - Aug.15 ownership interest in this Aug.16 - Aug.15 Retrospective Prospective company / organization? Nature of your activities Days to be Spent in (If so, please explain in Days Spent During For whom (e.g., (see instructions for this Reporting Period Current Reporting Period an attached statement.) examples) company/ organization)

☑ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Date 9 22/08

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10.000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177.412 as of July 1, 2008.)

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the activity reported and to the best of my knowledge and in my judgment:
No conflict of interest or commitment exists.
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective Activities (2007-2008)
No retrospective activities are reported or all retrospective activities are approved.
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective Activities (2008-2009)
No prospective activities are reported or all prospective activities are approved.
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information is correct and complete to the best of my knowledge.
Unit Head Signature Thur Whave Date 16/27/08
PART VI. Review and Approval of Activities by Dean and Others as Required.
Dean/Director/VP Signature (If approval needed) Date
Additional Reviews (Signatures) Date
Date

DISCLOSURE AND **REQUEST FOR PRIOR APPROVAL**

Last Name:	Guidotti
First Name:	Alessandro
Title / Rank:	Scientific Director
College:	Psychiatry
Dept. / Unit:	Psychiatric Institute
Appointment	<u>100</u> %
University Cor	ntract Period ¹]10 months/12 months/Summer

DADEL Conflict of Interest Sergening
PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* yes* no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* x no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.
Lucy XX

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Do not report "various" when reporting retrospective activity.
 - * Attach additional sheets if necessary

* Do not include amounts of compensation.

Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

nouns equals one day, regul	I	Do you have an	2007-2008	2008-2009
·		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
- Champ 123)				

| I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

THE THE TAXABLE PARTY OF THE PA	
PART III. Affirmation	
I affirm that I have read the University's Policy on Conflicts of Com	mitment and Interest ⁴ and the above information is
true to the best of my knowledge. If significant changes in activities of	occur during the year, this form must be updated.
	$\alpha / \alpha / \alpha$
Academic Staff Member's Signature	Date9/23/08
Please submit to your unit head for administrative review and approval.	

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

	nflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on th	e activity reported and to the best of my knowledge and in my judgment:
$ ot\!$	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As descri	plete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
Ø	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospecti	ve Activities (2007-2008)
À	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective	e Activities (<u>2008-2009</u>)
☆	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above info	rmation is correct and complete to the best of my knowledge.
Unit Head Sign	nature The Were 10/27/08
PART VI. R	eview and Approval of Activities by Dean and Others as Required.
Dean/Director/ (If approval ne	
Additional Rev (Signatures)	Date
	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Logan	
First Name:	Stephen	
Title / Rank:	Research Assistant Professor	
College:	Medical College	
Dept. / Unit:	Psychiatry	
Appointment	<u>100</u> %	
University Contract Period¹ ⊠9 months/□10 months/□12 months/□Summer		

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* x no
2. Do you or does any member of your family ²
have a managerial role or a significant'
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* 🗵 no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* yes* no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

If your appointment is less than 75% time, you do not need to complete this section. * Report total number of days, where an accumulation of eight

hours equals one day, regardless of time of day or day of week.

* Do not report "various" when reporting retrospective activity.

* Do not include amounts of compensation.

- * Attach additional sheets if necessary

2008-2009 2007-2008 Do you have an Aug.16 - Aug.15 Aug.16 - Aug.15 ownership interest in this Prospective Retrospective Nature of your activities company / organization? Days to be Spent in (If so, please explain in Days Spent During (see instructions for For whom (e.g., Current Reporting Period this Reporting Period company/ organization) an attached statement.) examples)

| I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)			
A. Based on the activity reported and to the best of my knowledge and in my judgment:			
No conflict of interest or commitment exists.			
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.			
Agree			
Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>			
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)			
A. Retrospective Activities (2007-2008)			
No retrospective activities are reported or all retrospective activities are approved.			
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
B. Prospective Activities (2008-2009)			
No prospective activities are reported or all prospective activities are approved.			
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
The above information is correct and complete to the best of my knowledge.			
Unit Head Signature (Uluu luk M) Date 10 24 08			
PART VI. Review and Approval of Activities by Dean and Others as Required.			
Dean/Director/VP Signature (If approval needed) Date			
. Additional Reviews (Signatures) Date			
Date			

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Manev	
First Name:	Radmila	
Title / Rank:	Associate Professor	
College:	Medicine	
Dept. / Unit:	Psychiatry	
Appointment	100%	
University Contract Period¹ ☐9 months/☐10 months/☒12 months/☐Summer		

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* x no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* 🗵 no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* x no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

nours equals one day, roga		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
		<u> </u>		

X I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Please submit to your unit head for administrative review and approval.

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PARKELY CO	nthet of Interest Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	e activity reported and to the best of my knowledge and in my judgment:
A	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
	plete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty of in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	developation)
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospecti	ve Activities (2007-2008) No retrospective activities are reported or all retrospective activities are approved.
	The state of the s
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective	e Activities (<u>2008-2009</u>)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above info	ormation is correct and complete to the best of my knowledge.
	1.1\0.1\11\1.\\\\\\\\\\\\\\\\\\\\\\\
Unit Head Sign	nature Cocco growing to
PARELYEER	ceview and Approval of Activities by Dean and Others as Required.
Dean/Director	
(If approval ne	eeded)
Additional Re (Signatures)	
	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Mason
First Name:	Sally
Title / Rank:	Associate Clinical Professor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	100%
University Con	tract Period¹]10 months/⊠12 months/⊡Summer

100		and the second		
17	PART I. Conflict of Interest Screeni	ng		
	Please attach an explanatory statement for all "ye	s" responses.		
ſ	1. Do you have a consulting or other financial			
	relationship with a sponsor of your research?	☐ yes* 🗵 no		
	2. Do you or does any member of your family ²			
	have a managerial role or a significant ³			
1	financial relationship with a company that			
	does business with the University or with a			
	company in a field of your research?			
	3. Do you have non-University professional			
	activities or income producing activities			
	involving University of Illinois students, or			
	other faculty or staff?	☐ yes* ⊠ no		
	4. Do you or does any member of your immediate	te		
	family have any other relationships,			
	commitments, or activities that might present	or		
	appear to present a conflict of interest or			
	commitment with your University of Illinois			
	appointment? Such relationships may include			
	financial or fiduciary interests or	A#		
	uncompensated activities. Report these wheth			
	or not you believe the conflict is manageable.			
	*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"			
	responses to the questions above. Lists in Part II do NOT			
	suffice as explanation.			

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period

| I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

- Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.
- University Policy defines "Family" as one's spouse and children.
- Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Character for Provided in Provided Interest Commitment (Provided Interest). forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PARTIME Condict of Interest Communication	Review (Please attach a copy of any referenced explanation).				
A. Based on the activity reported and to the best of my knowledge and in my judgment:					
No conflict of interest or commitment exists.					
A conflict of interest or commitment may exi	Ira to the next dantition arrests				
	ist that warrants further review. ard to the next administrative level (Dean, Director, or Vice President.)				
B. Please complete if question 3 on page 1 of the form is ans As described by the academic staff member, the and/or staff in his/her non-University activities of	wered affirmatively: involvement of University of Illinois students, faculty does not appear to be detrimental to those individuals.				
Agree					
Disagree If so, please attach an explanation and forw	ard to the next administrative level (Dean, Director, or Vice President.)				
	convolent referenced explanation.)				
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)					
A. Retrospective Activities (2007-2008) No retrospective activities are reported or all retrospective activities are approved.					
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice Pr					
B. Prospective Activities (2008-2009)					
No prospective activities are reported or all prospective activities are approved.					
V—					
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)					
The above information is correct and complete to the best of my knowledge.					
(1 N O 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Date 10508				
Unit Head Signature					
	Total Samuel Care and				
PART VI Review and Approval of Activities by Dean and Others as Required.					
Dean/Director/VP Signature (If approval needed)	Date				
Additional Reviews (Signatures)	Date				
	Date				

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Massie
First Name:	Elise
Title / Rank:	Assistant Professor
College:	Medical Center
Dept. / Unit:	Psychiatry
Appointment	<u>60</u> %
University Cor	ntract Period¹]10 months/⊠12 months/⊡Summer

PART I. Conflict of Interest Screening		
	eanour	100
Please attach an explanatory statement for all "yes" r	espons	es.
1. Do you have a consulting or other financial	٠ ٦	
relationship with a sponsor of your research?] yes*	⊠ no
2. Do you or does any member of your family ²		
have a managerial role or a significant ³		
financial relationship with a company that		
does business with the University or with a	_	
company in a field of your research?] yes*	⊠ no
3. Do you have non-University professional		
activities or income producing activities		
involving University of Illinois students, or		
other faculty or staff?] yes*	🛛 no
4. Do you or does any member of your immediate		
family have any other relationships,		
commitments, or activities that might present or		
appear to present a conflict of interest or		
commitment with your University of Illinois		
appointment? Such relationships may include		
financial or fiduciary interests or		
uncompensated activities. Report these whether		
or not you believe the conflict is manageable.] yes*	🛛 no
*Please <u>list</u> and <u>explain</u> in an attached statement ar	ny "yes	, ,,
responses to the questions above. Lists in Part II de	o NOT	ı
suffice as explanation.		

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for	For whom (e.g.,	Do you have an ownership interest in this company / organization? (If so, please explain in	Aug.16 - Aug.15 Retrospective Days Spent During	Aug.16 - Aug.15 Prospective Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period

⋈ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children. Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forward the conflict of the C forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PARTIX. Conflict of Interest Commitment Review descentation	r copy of any referenced explanations):
A. Based on the activity reported and to the best of my knowledge and in my judgment:	
No conflict of interest or commitment exists.	
A conflict of interest or commitment may exist, but is being monitored If so, please attach an explanation and forward to the next administration	
A conflict of interest or commitment may exist that warrants further rev	ve level (Dean, Director, or Vice President.)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University and/or staff in his/her non-University activities does not appear to be described.	ersity of Illinois students, faculty letrimental to those individuals.
Agree	
Disagree If so, please attach an explanation and forward to the next administrate	ive level (Dean, Director, or Vice President.)
Cany referenced to	xplanation.)
PART V. Approval of Activities (Please attach a copy of any referenced e A. Retrospective Activities (2007-2008)	And the state of t
A. Retrospective Activities (2007-2006) No retrospective activities are reported or all retrospective activities a	re approved.
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administra	itive level (Dean, Director, or Vice President.)
B. Prospective Activities (2008-2009)	
No prospective activities are reported or all prospective activities are	approved.
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administration.	
The above information is correct and complete to the best of my knowledge.	
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Unit Head Signature	
PART VI. Review and Approval of Activities by Dean, and C	Allers as Requireur
Dean/Director/VP Signature Date	
Additional Reviews Date Date	

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	miller
First Name:	Lawa
Title / Rank:	Professor
College:	Medicne
Dept. / Unit:	Psychietry
Appointment	85%
University Cor	ntract Period ¹]10 months/12 months/Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* 🗵 no
2. Do you or does any member of your family ² have a managerial role or a significant ³ financial relationship with a company that does business with the University or with a company in a field of your research?
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? yes* ⋈ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* x not you believe the conflict is manageable.
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Pari II ao NOI
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

hours equals one day, regardless of time of day of week. Do you have an ownership interest in this company / organization? (see instructions for (see instructions for examples) Nature of your activities (see instructions for company/ organization) For whom (e.g., company/ organization) an attached statement.) Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.) Days Spent During this Reporting Period Current Reporting	n Period
1 and 2000	1

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children. Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the activity reported and to the best of my knowledge and in my judgment.
No conflict of interest or commitment exists.
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree Agree
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.) A. Retrospective Activities (2007-2008)
No retrospective activities are reported or all retrospective activities are approved.
V constant and
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
B. Prospective Activities (2008-2009)
A structure activities are approved
No prospective activities are reported or all prospective activities are approved.
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President).
The above information is correct and complete to the best of my knowledge.
1100000000000000000000000000000000000
PART VI. Review and Approval of Activities by Dean and Others as Required.
D. /Dive-ton/VD Signature
(If approval needed) Date
Additional Reviews (Signatures) Date
Date

University of Illinois at Chicago

Academic Staff

2008-2009 Report of

Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Nand
First Name:	Surinder
Title / Rank:	Professor of Clinical Psychiatry
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	12_%
University Cor	ntract Period ¹]10 months/\(\overline{\times}\)12 months/\(\overline{\times}\)Summer

PART I. Conflict of Interest Screening			
Please attach an explanatory statement for all "yes" responses.			
1. Do you have a consulting or other financial			
relationship with a sponsor of your research? ☐ yes* 🗵 no			
2. Do you or does any member of your family ²			
have a managerial role or a significant ³			
financial relationship with a company that			
does business with the University or with a			
company in a field of your research? □ yes* 🗵 no			
3. Do you have non-University professional			
activities or income producing activities			
involving University of Illinois students, or			
other faculty or staff?			
4. Do you or does any member of your immediate			
family have any other relationships,			
commitments, or activities that might present or			
appear to present a conflict of interest or			
commitment with your University of Illinois			
appointment? Such relationships may include			
financial or fiduciary interests or			
uncompensated activities. Report these whether			
or not you believe the conflict is manageable. yes* no			
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"			
responses to the questions above. Lists in Part II do NOT			
suffice as explanation.			

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

▼ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Sulule Sula Novel Date Oct 1, 2008

Please submit to your unit head for administrative review and approval.

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PAREN	Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation):
A. Based o	on the activity reported and to the best of my knowledge and in my judgment:
V	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A 3 -	complete if question 3 on page 1 of the form is answered affirmatively: scribed by the academic staff member, the involvement of University of Illinois students, faculty r staff in his/her non-University activities does not appear to be detrimental to those individuals.
] Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
SNA NEW Y	Approval of Activities (Please attach a copy of any referenced explanation.)
PART V.	pective Activities (2007-2008)
1	No retrospective activities are reported or all retrospective activities are approved.
ν Ξ.	and the second of the second o
951	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prosp	ective Activities (2008-2009)
\[No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above	e information is correct and complete to the best of my knowledge.
	(1) 0111 / n 16 (1) Pote 10\\$\
Unit Head	A Signature Signature
DANGE FAVIE	Review and Approval of Activities by Dean and Others as Required.
Dean/Dir	ector/VP Signature val needed) Date
Addition: (Signatur	al Reviews es) Date
(=-8	Date

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Nyenhuis
First Name:	David
Title / Rank:	Assoc. Projessor
College:	Medicine
Dept. / Unit:	Neurology/ Psychiatr
Appointment	100%
University Con	ntract Period ¹]10 months/12 months/Summer

_	
1000	PART I. Conflict of Interest Screening
	Please attach an explanatory statement for all "yes" responses.
	. Do you have a consulting or other financial
1	relationship with a sponsor of your research? yes* no
	2. Do you or does any member of your family ²
	have a managerial role or a significant ³
	financial relationship with a company that
	does business with the University or with a
	company in a field of your research? yes* no
	3. Do you have non-University professional
	activities or income producing activities
	involving University of Illinois students, or
	other faculty or staff? yes* no
	4. Do you or does any member of your immediate
	family have any other relationships,
	commitments, or activities that might present or
	appear to present a conflict of interest or
	commitment with your University of Illinois
	appointment? Such relationships may include
)	financial or fiduciary interests or
	uncompensated activities. Report these whether
	of not you believe the commet is managed.
	*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
	responses to the questions above. Lists in Part II do NOT
	suffice as explanation.

PARTII. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

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		The state of the s		7.5	11.
PAF	PAR BOOK 1888	225	5 T. P. W. B. C.	MOTIC	
$ \Delta$	CONT. 10000 1 1 1	1000			,,,,
				TY OF CY C	

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is ccur during the year, this form must be updated. true to the best of my knowledge. If significant d

Academic Staff Member's Signature Please submit to your unit head for administrative review and approval.

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PARTINATE Conduct of Interest/Commitment Review (Please attach acopy of any referenced explana	1000)				
A. Based on the activity reported and to the best of my knowledge and in my judgment.					
No conflict of interest or commitment exists.					
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice Proceed)	re <u>sident</u> .)				
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice P	resident.)				
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.					
Agree					
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice F	President.)				
of any referenced (XI) anation.)					
PART V. Approval of Activities (Please attach a copy of any referenced explanation.) A. Retrospective Activities (2007-2008)					
No retrospective activities are reported or all retrospective activities are approved.					
	4.8				
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice).	<u>President.</u>)				
B. Prospective Activities (2008-2009)					
No prospective activities are reported or all prospective activities are approved.					
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice).	<u>President</u> .)				
The above information is correct and complete to the best of my knowledge.					
(1) 011 (1) / 0 M Date 10/5/08					
Unit Head Signature					
*PARTAVE Review and Approval of Activities by Dean and Others as Required.					
Constraint and the Constraint an					
Dean/Director/VP Signature (If approval needed) Date					
Additional Reviews (Signatures) Date					
Date					

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name: _	Owley
First Name:	Thomas
Title / Rank: _	MD
College: _	Medicile
Dept. / Unit:	Psychety
Appointment / 6	0%
University Contr	act Period¹ 0 months/\(\square\)12 months/\(\square\)Summer

Please attach an explanatory statement for all "yes" responses. 1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* no 2. Do you or does any member of your family² have a managerial role or a significant³
relationship with a sponsor of your research? yes* no 2. Do you or does any member of your family² have a managerial role or a significant³
have a managerial role or a significant ³
financial relationship with a company that does business with the University or with a company in a field of your research? yes*
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* the no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period
examples)	Company, organization,	an avvariation of the control of the	, and step state of the step step step step step step step ste	
en en reservante en	and the second of the second o	nanana da sa		A solve that a factor of a second contract of the contract of

| I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Con	flict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	activity reported and to the best of my knowledge and in my judgment:
V —	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being <u>monitored by the department.</u> If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As describe	ete if question 3 on page 1 of the form is answered affirmatively: ed by the academic staff member, the involvement of University of Illinois students, faculty f in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. App	roval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective	e Activities (<u>2007-2008)</u>
\bowtie	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
B. Prospective A	Activities (2008-2009)
X	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above inform	nation is correct and complete to the best of my knowledge.
Unit Head Signat	
PART VI. Rev	view and Approval of Activities by Dean and Others as Required.
Dean/Director/V (If approval need	
Additional Revie (Signatures)	Date
	Date

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	PANDEY
First Name:	Ghanshyam
Title / Rank:	Professor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	<u>100</u> %
University Cor	ntract Period¹]10 months/⊠12 months/⊡Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family have a managerial role or a significant
financial relationship with a company that does business with the University or with a company in a field of your research?
3. Do you have non-University professional
activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☑ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or
appear to present a conflict of interest or commitment with your University of Illinois
appointment? Such relationships may include financial or fiduciary interests or
uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period
	Ly en en la substitution de la vivillation	Less sur les sur la sur les su	<u>.</u>	

X I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation?)
A. Based on the activity reported and to the best of my knowledge and in my judgets.
No conflict of interest or commitment exists.
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A. Retrospective Activities (2007-2008) No retrospective activities are reported or all retrospective activities are approved. Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.) B. Prospective Activities (2008-2009) No prospective activities are reported or all prospective activities are approved. Some or all declared prospective activities are not approved. Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.) The above information is correct and complete to the best of my knowledge. Unit Head Signature Date Date Date Date PART VI. Review and Approval of Activities by Dean and Others as Required.
PART VI. Review and Approximate Activities by tecapional same
Dean/Director/VP Signature (If approval needed) Date
Additional Reviews Date
(Signatures) Date

University of Illinois at Chicago Academic Staff 2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	passarotti
First Name:	alessandra
Title / Rank:	assistant professor
College:	medicine
Dept. / Unit:	psychiatry
Appointment	100%
University Cor	ntract Period¹]10 months/⊠12 months/⊡Summer

PART I. Conflict of Interest Screeni	ng			
Please attach an explanatory statement for all "ye				
1. Do you have a consulting or other financial				
relationship with a sponsor of your research?	☐ yes* ⊠ no			
2. Do you or does any member of your family ²				
have a managerial role or a significant ³				
financial relationship with a company that				
does business with the University or with a				
company in a field of your research?	☐ yes* ⊠ no			
3. Do you have non-University professional				
activities or income producing activities				
involving University of Illinois students, or				
other faculty or staff?	☐ yes* ⊠ no			
4. Do you or does any member of your immediate	2			
family have any other relationships,				
commitments, or activities that might present of	r			
appear to present a conflict of interest or				
commitment with your University of Illinois				
appointment? Such relationships may include				
financial or fiduciary interests or				
uncompensated activities. Report these whethe	<u>r</u>			
or not you believe the conflict is manageable.	∐ yes* ⊠ no			
*Please <u>list</u> and <u>explain</u> in an attached statement				
responses to the questions above. Lists in Part II do NOT				
suffice as explanation.				

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
 - Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
·				
K-7	<u></u>	l	l	

☐ HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval,

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PARTINA Conflict of Interest/Commitment Review (Please attach accopy of any referenced explanation!)	
A. Based on the activity reported and to the best of my knowledge and in my judgment.	
No conflict of interest or commitment exists.	
A conflict of interest or commitment may exist, but is being monitored by the department. A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)	
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)	
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.	
Agree	
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)	
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)	
A. Retrospective Activities (2007 2000) No retrospective activities are reported or all retrospective activities are approved.	
Some or all retrospective activities are not approved. Some or all retrospective activities are not approved. Some or all retrospective activities are not approved.	,
If so, please attach an explanation and for war a to the new east.	
B. Prospective Activities (2008-2009)	
No prospective activities are reported or all prospective activities are approved.	
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)	
The above information is correct and complete to the best of my knowledge.	
Date (0/5/08	
Unit Head Signature (4)	
V Standar Required Virginia M	STREET, ST
PART VI. Review and Approval of Activities by Dean and Others as Required Sa to 12	2
Dean/Director/VP Signature Date	
Additional Reviews Date	
(Signatures) Date	

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: First Name: Title / Rank: College: Dept. / Unit: Appointment 20 % University Contract Period 9 months/ 10 months/ 12 months/ Summer		PAYKOVIC
Title / Rank: $ACOCIDIFCIW$. $PRof$. College: $MFDICINE$ Dept. / Unit: $PICHATRY$ Appointment $PICHATRY$ University Contract $Period^1$	Last Name:	1 33 100 112
College: $MFDICINE$ Dept. / Unit: 20% University Contract Period ¹	First Name:	IVAN
Dept. / Unit: Dept. / Unit: Dept. / Unit: Dept. / Unit: Dept. / University Contract Period 1	Title / Rank:	ACCOCIDITE CLIN. PROF.
Appointment 20 % University Contract Period ¹	College:	MEDICINE
University Contract Period ¹	Dept. / Unit:	PSYCHIATRY
	Appointment	20_%

	PART I. Conflict of Interest Screening							
	Please attach an explanatory statement for all "yes" responses.							
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* yes* x								
	have a managerial role or a significant ³							
	financial relationship with a company that							
	does business with the University or with a							
	company in a field of your research? □ yes* ⋈ no							
	3. Do you have non-University professional							
ĺ	activities or income producing activities							
	involving University of Illinois students, or							
	other faculty or staff?							
	4. Do you or does any member of your immediate							
	family have any other relationships,							
	commitments, or activities that might present or							
	appear to present a conflict of interest or							
	commitment with your University of Illinois							
	appointment? Such relationships may include							
	financial or fiduciary interests or							
uncompensated activities. Report these whether								
	or not you believe the conflict is manageable. yes* no							
	*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"							
	responses to the questions above. Lists in Part II do NOT							
	suffice as explanation.							

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
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- * Do <u>not</u> include amounts of compensation.

2007 2000

- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

man All Dic Date

· 10.23.08

2000 2000

Please submit to your unit head for administrative review and approval.

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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Administrative Review and Approval, UIC RNUA 2008-2009
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of the transport of

PART IV. Conf	lict of Interest/Commitment Review (Please attach a copy of any referenced explanation:)				
A. Based on the activity reported and to the best of my knowledge and in my judgment:					
No conflict of interest or commitment exists.					
<u>If</u>	conflict of interest or commitment may exist, but is being monitored by the department. So, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)				
☐ A	conflict of interest or commitment may exist that warrants further review. So, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)				
ممانسم مالي م	the if question 3 on page 1 of the form is answered affirmatively: I by the academic staff member, the involvement of University of Illinois students, faculty in his/her non-University activities does not appear to be detrimental to those individuals.				
	Agree				
	Disagree f so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)				
PART V Appr	oval of Activities (Please attach a copy of any referenced explanation.)				
A. Retrospective	Activities (2007-2008)				
	No retrospective activities are reported or all retrospective activities are approved.				
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)				
B. Prospective A	ctivities (<u>2008-2009</u>)				
A 1	No prospective activities are reported or all prospective activities are approved.				
	Some or all declared prospective activities are not approved. f so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)				
The above inform	ation is correct and complete to the best of my knowledge.				
Unit Head Signature (1) Little Date 10/24/05					
PART VI. Rev	PART VI. Review and Approval of Activities by Dean and Others as Required.				
Dean/Director/VI (If approval need					
Additional Revie (Signatures)	ws Date				
	Date				

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2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Pavuluri
First Name:	Mani
Title / Rank:	Associate Professor
College:	UIC
Dept. / Unit:	Psychiatry
Appointment	<u>100</u> %
University Con 9 months/	tract Period ¹]10 months/⊠12 months/∭Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family ²
have a managerial role or a significant ³ financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff? \square yes* \square no
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
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PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
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- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
X	X	X	X	X
				:
	1	1		

☐ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Please submit to your unit head for administrative review and approval.

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conduct of Interest/Commitment Review (Please attach a copy of any referenced explanation)
A. Based on the activity reported and to the best of my knowledge and in my judgment:
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A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
d Avolanation)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective Activities (2007-2008) No retrospective activities are reported or all retrospective activities are approved.
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective Activities (2008-2009)
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Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information is correct and complete to the best of my knowledge.
(1) 01111 / 0 M M Date (USIO)
Unit Head Signature
V V A Doggango
PARTLYL Review and Approval of Activities by Dean and Others as Required.
Dean/Director/VP Signature (If approval needed) Date
Additional Reviews Date
(Signatures) Date

PART I. Conflict of Interest Screening

relationship with a sponsor of your research?

1. Do you have a consulting or other financial

Please attach an explanatory statement for all "yes" responses.

University of Illinois at Chicago Academic Staff 2008-2009 Report of Non-University Activities (RNUA)

		· · ·		Do you or does a	ny member of your far	niiy
DISCLOSURE AND				have a managerial role or a significant ³ financial relationship with a company that		
REQUEST FOR PRIOR				financial relation	ship with a company ti	nai th o
KEQUES	I LO	KIKIOK		does business wi	th the University or wi	ın a
APPROV	AL		-	company in a fie	ld of your research?	
XI I IXO				3. Do you have nor	-University profession	iai
				activities or inco	me producing activitie	S
Last Name:	Pinna		_	involving Univer	rsity of Illinois student	yes* 🗵 no
				other faculty or s	statt?	
First Name:	Graziano)		4. Do you or does a	any member of your im	Iniculate
		. D. C	-	family have any	other relationships,	present or
Title / Rank:	Res. Ass	t Professor		commitments, of	r activities that might p t a conflict of interest of	or a second or
	Medicine	_		appear to presen	h your University of Il	linois
College:	Medicine	3		communicati wit	ich relationships may i	include
	Psychiat	atm.		financial or fidu	ciary interests or	
Dept. / Unit:	1 Sycillat	1 y		uncompensated	activities. Report these	whether
	100%			or not you believe the conflict is manageable. \(\) yes \(\) \(\) no		
Appointment	100%			*Please list and explain in an attached statement any "yes"		
University Cor	ntract Perio	od¹		responses to the qu	uestions above. Lists i	n Part II do NOT
9 months/]10 month	ns/⊠12 months/⊡Sumn	ner	suffice as explanation.		
PART II. L	isting o	of Non-University I	ncor	ne Producing A	Activities	
If your appointm	nent is less	than 75% time, you do not	need to	S Tho not includ	e amounts of compensati	ion.
complete this se	ction.			Do <u>nor</u> tehort	"various" when reporting	g retrospective activity.
Report total nun	nber of day	s, where an accumulation o	f eight		onal sheets if necessary	
hours equals one	e day, regar	dless of time of day or day	of wee	ek	2007-2008	2008-2009
			L Do y	ou have an ership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
	,		comr	oany / organization?	Retrospective	<u>Prospective</u>
Nature of your actions	for	For whom (e.g.,	(If so, please explain in		Days Spent During	Days to be Spent in
examples)	101	company/ organization)	an at	tached statement.)	this Reporting Period	Current Reporting Period
				at .		
NA				. Carrill 1		
				A CHANGE		
				- арра		
				CONTIAND T AND TO	FOURED TO REP	PORT
	\times	I HAVE NO ACTIV	HLE	S THAT I AM KI	A CHENT TO KEE	<u> </u>
) cc					\mathcal{L}_{i}

PART III. Affirmation I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. Esignificant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children. The Illinois Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

	malica of Interest/Commitment Review (Please attach accopy of any referenced explanations) &
A. Based on th	ne activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	ibed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	oproval of Activities (Please attach a copy of any referenced explanation.)
PARLV. A	tive Activities (2007-2008)
A. Kellospee	No retrospective activities are reported or all retrospective activities are approved.
	The second secon
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospecti	ve Activities (<u>2008-2009</u>)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above in	formation is correct and complete to the best of my knowledge.
	/ 1/ 0 / / / / / Des / 10/5/08
Unit Head Si	gnature The social state of the social state o
SPECEPHEAVELEE	Review and Approval of Activities by Dean and Othersas Required. Ass.
Dean/Directo (If approval	or/VP Signature Date needed)
Additional R (Signatures)	
	Date

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Pirec
First Name:	VESNA
Title / Rank:	ASSIST. PROFESSOR
College:	MEDIUNE
Dept. / Unit:	psychiatry
Appointment _2	
University Con	

PART I. Conflict of Interest Screening	ıg	
Please attach an explanatory statement for all "yes		ses.
1. Do you have a consulting or other financial	,	
relationship with a sponsor of your research?	yes*	∠ no
2. Do you or does any member of your family ²		,
have a managerial role or a significant ³		
financial relationship with a company that		
does business with the University or with a		·
company in a field of your research?	yes*	⊠ no
3. Do you have non-University professional		
activities or income producing activities		
involving University of Illinois students, or		
other faculty or staff?	yes*	P no
4. Do you or does any member of your immediate	e	
family have any other relationships,		
commitments, or activities that might present of	r	
appear to present a conflict of interest or		
commitment with your University of Illinois		
appointment? Such relationships may include		
financial or fiduciary interests or		
uncompensated activities. Report these whether		r-tA
or not you believe the conflict is manageable.	yes*	∐ ^M no
*Please <u>list</u> and <u>explain</u> in an attached statement	t any "yes	;"
responses to the questions above. Lists in Part I	l do NOT	
suffice as explanation.		

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

ĺ			Do you have an	2007-2008	2008-2009
l			ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
	Nature of your activities		company / organization?	Retrospective	<u>Prospective</u>
-	(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
	examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
		ļ.	li de la companya de		

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Well pr.

Date $9^{\circ}a0^{\circ}$

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009
(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the activity reported and to the best of my knowledge and in my judgment:
No conflict of interest or commitment exists.
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree
Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective Activities (2007-2008)
No retrospective activities are reported or all retrospective activities are approved.
Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,)
B. Prospective Activities (2008-2009)
No prospective activities are reported or all prospective activities are approved.
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information is correct and complete to the best of my knowledge.
Unit Head Signature Ullul We M Date 10 (108
PART VI. Review and Approval of Activities by Dean and Others as Required.
Dean/Director/VP Signature
(If approval needed) Date
Additional Reviews
(Signatures) Date
Date
Date

University of Illinois at Chicago

Academic Staff

2008-2009 Report of

Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Pournajafi-Nazarloo
First Name:	Hossein
Title / Rank:	Research Assistant Professor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	100%
University Con 9 months/	tract Period¹]10 months/⊠12 months/⊡Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family ² have a managerial role or a significant ³ financial relationship with a company that
does business with the University or with a company in a field of your research? yes* no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff?
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or
appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or
uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* X no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do $\underline{\text{not}}$ include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
			1	

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval-

Date 09.30.0 8

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PARMENT GO	ontilies of Interest Commitment Review (Please attach accopy of any referenced explanation)
A. Based on th	ne activity reported and to the best of my knowledge and in my judgment:
A	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	ibed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. AJ	oproval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospec	No retrospective activities are reported or all retrospective activities are approved.
IX.	
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospecti	ve Activities (<u>2008-2009</u>)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
The above in	formation is correct and complete to the best of my knowledge.
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Unit Head Si	gnature
	Review and Approval of Activities by Dean and Others as Required.
<u>araeraara</u>	KEARICAL TILLES AND LANGUE OF THE STATE OF T
Dean/Directo (If approval 1	or/VP Signature Date needed)
Additional R (Signatures)	
(O'BILLIVE VO)	

University of Illinois at Chicago Academic Staff 2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Prensky
First Name:	Eric
Title / Rank:	Asst Prof of Clinical Psychology
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	<u>100</u> %
University Cor	ntract Period¹]10 months/⊠12 months/_Summer

PART I. Conflict of Interest Screening	
Please attach an explanatory statement for all "yes" responses.	
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no	
2. Do you or does any member of your family ² have a managerial role or a significant ³	
financial relationship with a company that	
does business with the University or with a company in a field of your research? yes* 🗵 no	
3. Do you have non-University professional activities or income producing activities	
involving University of Illinois students, or other faculty or staff?	
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or	
appear to present a conflict of interest or commitment with your University of Illinois	
appointment? Such relationships may include	
financial or fiduciary interests or uncompensated activities. Report these whether	
or not you believe the conflict is manageable. yes* × no	
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"	
responses to the questions above. Lists in Part II do NOT	
suffice as explanation.	

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

2008-2009 2007-2008 Do you have an Aug.16 - Aug.15 Aug.16 - Aug.15 ownership interest in this Prospective Retrospective company / organization? Nature of your activities Days Spent During Days to be Spent in (see instructions for For whom (e.g., (If so, please explain in Current Reporting Period an attached statement.) this Reporting Period company/ organization) examples)

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children. Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

EDWARDARIAVA (64)	milief of Interest/Commitment Review (Please attach a copyrof any referenced explanation).
A. Based on th	ne activity reported and to the best of my knowledge and in my judgment.
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Please cor As descr and/or st	ibed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. A	pproval of Activities (Please attach a copy of any referenced explanation.) tive Activities (2007-2008)
A. Retrospec	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospect	ive Activities (<u>2008-2009</u>)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above in	formation is correct and complete to the best of my knowledge.
	Date [0]5[08
Unit Head Si	gnature Strategie Grant
	Review-and Approval of Activities by Dean and Others as Required.
Dean/Direct (If approval	or/VP Signature Date
Additional I	
(Signatures)	Date

University of Illinois at Chicago Academic Staff 2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Qu
First Name:	Tingyu
Title / Rank:	Research Assistant Professor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	100%
University Cor	ntract Period ¹]10 months/12 months/Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* x no
2. Do you or does any member of your family ²
have a managerial role or a significant ³ financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* 🗵 no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* n
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period
	, -			1 * .

☑ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Please submit to your unit head for administrative revi

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

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	milliet of Interest/Commitment Review (Please attacher copy of any referenced explanation)
A. Based on th	ne activity reported and to the best of my knowledge data in my yar g
A	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Please con As descr and/or st	nplete if question 3 on page 1 of the form is answered affirmatively: ribed by the academic staff member, the involvement of University of Illinois students, faculty raff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,)
PART V. A	pproval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospec	ctive Activities (2007-2008) No retrospective activities are reported or all retrospective activities are approved.
	If so, please attach an explanation and forward to the next dammas are
B. Prospect	ive Activities (<u>2008-2009</u>)
1	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
The above it	nformation is correct and complete to the best of my knowledge.
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Unit Head S	ignature 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
DAVE DATE AVERA	Review and Approval of Activities by Dean and Others as Required.
A CONTRACTOR OF THE CONTRACTOR	
Dean/Direct (If approval	tor/VP Signature Date Date
Additional (Signatures	Reviews Date
(Signatures	Date

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Reilly
First Name:	James L
Title / Rank:	Assistant Professor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	<u>100</u> %
University Cor	ntract Period¹]10 months/⊠12 months/⊡Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* 🗵 no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* x no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
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- * Do $\underline{\text{not}}$ include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	<u>Prospective</u>
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period

▼ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature for a L Kereev Please submit to your unit head for administrative review and approval.

Date 9/23/08

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

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Parreny=(Co	ufflict of Interest/Commitment Review (Please attach a copy of any referenced explanation)?
A. Based on the	e activity reported and to the best of my knowledge and in my judgment:
A	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,)
	plete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty of in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
¥7.	proval of Activities (Please attach a copy of any referenced explanation.)
PART V. Ap	ive Activities (2007-2008)
A. Kellospeci	No retrospective activities are reported or all retrospective activities are approved.
L	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	ve Activities (<u>2008-2009</u>)
	 No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above inf	ormation is correct and complete to the best of my knowledge.
	(1) 01111 / 0 M Date (0) \$ 0 8
Unit Head Sig	gnature Structure Structur
	Review and Approval of Activities by Dean and Others as Required.
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Dean/Directo (If approval r	r/VP Signature Date
Additional R (Signatures)	
(0.6	Date

University of Illinois at Chicago Academic Staff 2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

ρ
Last Name: \\\ \ICHMAN
7
First Name: JVD 1TH
Title / Rank: Professor
College: Medicine
Dept. / Unit: /Sychiatry
Appointment / 10 %
University Contract Period¹

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* no
2. Do you or does any member of your family ²
have a managerial role or a significant
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* I no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period
	TAX AND A CITY	TTIES THAT I AM RI	EQUIRED TO REP	ORT

▼ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period is cludes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009
(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

DADT IV Conflict	of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the activit	ry reported and to the best of my knowledge and in my judgment:
, <i>j</i>	nflict of interest or commitment exists.
A con	flict of interest or commitment may exist, but is being monitored by the department. please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A con	flict of interest or commitment may exist that warrants further review. please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As described by	question 3 on page 1 of the form is answered affirmatively: the academic staff member, the involvement of University of Illinois students, faculty is/her non-University activities does not appear to be detrimental to those individuals.
Agree	
Disag <u>If so,</u>	gree please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
•	1 C 1 4 4 3 (a)
	al of Activities (Please attach a copy of any referenced explanation.)
	etrospective activities are reported or all retrospective activities are approved.
	11 star-modius activities are not approved
Some If so,	e or all retrospective activities are not approved. please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective Activi	
No p	rospective activities are reported or all prospective activities are approved.
=	e or all declared prospective activities are not approved. please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information	is correct and complete to the best of my knowledge.
	Date 10/08
Unit Head Signature	- The state of the
DADTVI Review	v and Approval of Activities by Dean and Others as Required.
TAKT YI. Refret	
Dean/Director/VP Sig (If approval needed)	nature Date
Additional Reviews (Signatures)	Date
	Date

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

First Name: Kathleen Title / Rank: Associate Professor of Psychology	
College: Medicine	
Dept. / Unit: Psychiatry	
Appointment 100%	
University Contract Period¹ ☐9 months/☐10 months/☐12 months/☐Summe	er

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* no
2. Do you or does any member of your family ²
have a managerial role or a significant'
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* 🔀 no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff? yes* \(\square\) no
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

	nours equals one day, regain	diess of time of day of day	OI WOOK.		2000 2000
ſ			Do you have an	2007-2008	2008-2009
l			ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
	Nature of your activities		company / organization?	Retrospective	<u>Prospective</u>
ļ		For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
١	(see instructions for	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
1	examples)	company organization)	dir detaction states.		
i					A 77 AD

☑ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)	
A. Based on the activity reported and to the best of my knowledge and in my judgment:	
No conflict of interest or commitment exists.	
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)) -
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.))
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.	
Agree	
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,)
s control of the cont	
PART V. Approval of Activities (Please attach a copy of any referenced explanation.) A. Retrospective Activities (2007-2008)	j
No retrospective activities are reported or all retrospective activities are approved.	
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)	:)
B. Prospective Activities (2008-2009)	
No prospective activities are reported or all prospective activities are approved.	
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)	.)
The above information is correct and complete to the best of my knowledge.	
Unit Head Signature Date	
PART VI. Review and Approval of Activities by Dean and Others as Required.	
Dean/Director/VP Signature (If approval needed) Date	
Additional Reviews (Signatures) Date	
Date	

University of Illinois at Chicago

Academic Staff

2008-2009 Report of

Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Rosen
First Name:	Cherise
Title / Rank:	Assistant Professor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	<u>100</u> %
University Con 9 months/	ntract Period ¹]10 months/\(\overline{\overl

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* x no
2. Do you or does any member of your family ² have a managerial role or a significant ³ financial relationship with a company that does business with the University or with a
company in a field of your research.
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or
other faculty or staff? yes* x no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or
uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* x no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.
SHIP ON STREET

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

X I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation	기가기 본 전 그 일을 출시됐다고 있다.	-			
I affirm that I have read the Univ	versity's Policy on Conflicts	of Commitment and I	Interest ⁴	and the above	e information i
1 amrin that I have read the Only	cisity si oney on conjues	initian annue duving th	a vear th	his farm must	he undated.
t - t- the best of my knowledge	- It cioniticant changes in act	avines occur auring in	ie yeur, ii	us jarin must	oc uporarou.

Academic Staff Member's Signature Will De Tour Will Please submit to your unit head for administrative review and approval.

auring the year, this joint man

Please submit to your unit near for dumants in the February and holidays during the term of employment.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.
 Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois
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 Frocurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor.

(Governor's salary \$177,412 as of July 1, 2008.)

The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Page 1 of 2

University of Illinois at Chicago

Academic Staff

2008-2009 Report of

Nou-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Salt
First Name:	Jeff
Title / Rank:	Asst Prf
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	100%
University Cor	ntract Period¹]10 months/[͡͡͡͡͡͡͡͡͡͡͡/]12 months/[_]Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? 🔲 yes* 🗵 no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes' [X] no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* 🕅 no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Mon-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

ļ		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	cor pany/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
T T T T T T T T T T T T T T T T T T T				
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PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Salt

Date

10/1/08

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" us one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illimois Procurement Code (5 Illimois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward format the university and residual to the Office of the Viscolly and Provided Review and Review a forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PARTIN. Conflict of Interest/Commitment Review (Please attached copy of any referenced explanations).					
A. Based on the activity reported and to the best of my knowledge and in my judgment:					
No conflict of interest or commitment exists.					
A conflict of interest or commitment may exist, but is being monitored by the department. [If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)					
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President, 1997).					
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.					
Agree					
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)					
of pay referenced explanation.)					
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)					
A. Retrospective Activities (2007-2008) No retrospective activities are reported or all retrospective activities are approved.					
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)					
B. Prospective Activities (2008-2009)					
No prospective activities are reported or all prospective activities are approved.					
Some or all declared prospective activities are not approved. [If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)					
The above information is correct and complete to the best of my knowledge.					
1 0 1 1 1 1 1 1 0 M A Date (0/5/08					
Unit Head Signature					
PART VI. Review and Approval of Activities by Dean and Others as Required.					
Dean/Director/VP Signature (If approval needed) Date					
Additional Reviews Date					
Date					

University of Illinois at Chicago Academic Staff 2008-2009 Report of

Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	sawa
First Name:	marcia
Title / Rank:	asst professor
College:	medicine
Dept. / Unit:	Dept of Psychiatry
Appointment	<u>100</u> %
University Co	ntract Period ¹ 10 months/ X 12 months/ Summe

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* x no
2. Do you or does any member of your family ²
have a managerial role or a significant
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* yes* no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether or not you believe the conflict is manageable. ves* no
I IIII VUI DENEVO IN COMMITTO AS ASSESSMENT
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.

2007-2008

* Do not report "various" when reporting retrospective activity.

2008-2009

* Attach additional sheets if necessary

Nature of your activities Nature of your activities Company / organization? (If so, please explain in Days Spent During Days Days Days Days Days Days Days Days	Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period
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PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children. Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Parente d	on thick of Interest Commutment Review (Please attach a copy of any referenced explanation!) s.					
A. Based on the activity reported and to the best of my knowledge and in my judgment:						
A	No conflict of interest or commitment exists.					
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)					
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)					
	inplete if question 3 on page 1 of the form is answered affirmatively: ribed by the academic staff member, the involvement of University of Illinois students, faculty caff in his/her non-University activities does not appear to be detrimental to those individuals.					
	Agree					
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)					
	pproval of Activities (Please attach a copy of any referenced explanation.)					
PARI V. A	pproval of Activities (ricase attacha copy of any incording to the Activities (2007-2008)					
A. Retrospec	No retrospective activities are reported or all retrospective activities are approved.					
W.L	The state of the s					
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)					
B. Prospecti	ve Activities (2008-2009)					
	No prospective activities are reported or all prospective activities are approved.					
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)					
The above in	formation is correct and complete to the best of my knowledge.					
	(1) 01 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1					
Unit Head Si	gnature					
PARTEVIL Review and Approval of Activities by Dean and Others as Required.						
Dean/Directo (If approval	or/VP Signature Date needed)					
Additional R (Signatures)						
	Date					

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Schmid		
First Name:	Sabine		
Title / Rank:	Assistant Professor		
College:	Medicine		
Dept. / Unit:	Psychiatry		
Appointment	<u>100</u> %		
University Contract Period¹ ☐9 months/☐10 months/☐12 months/☐Summer			

PART I. Conflict of Interest Screening						
Please attach an explanatory statement for all "yes" responses.						
1. Do you have a consulting or other financial						
relationship with a sponsor of your research? yes* × no						
2. Do you or does any member of your family ²						
have a managerial role or a significant ³						
financial relationship with a company that						
does business with the University or with a						
company in a field of your research?						
3. Do you have non-University professional						
activities or income producing activities						
involving University of Illinois students, or						
other faculty or staff?						
4. Do you or does any member of your immediate						
family have any other relationships,						
commitments, or activities that might present or						
appear to present a conflict of interest or						
commitment with your University of Illinois						
appointment? Such relationships may include						
financial or fiduciary interests or						
uncompensated activities. Report these whether						
or not you believe the conflict is manageable. yes* x no						
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"						
responses to the questions above. Lists in Part II do NOT						
suffice as explanation.						

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period
n/a				

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff-Member's-Signature Please submit to your unit head for administrative review and approval.

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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PART IV.	. Conflict of Interest	Commitment Review (Please attach a copy of any referenced explanation.)			
		the best of my knowledge and in my judgment:			
d	No conflict of interest of	or commitment exists.			
		commitment may exist, but is being monitored by the department. explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
		commitment may exist that warrants further review. Explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
Aso	B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.				
	Agree				
	Disagree If so, please attach an e	explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
PART V.	Approval of Activiti	es (Please attach a copy of any referenced explanation.)			
	ospective Activities (2007-2008)				
•	No retrospective activity	ties are reported or all retrospective activities are approved.			
		ve activities are not approved.			
	If so, please attach an	explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
B. Pros	pective Activities (2008-2009)				
	No prospective activitie	es are reported or all prospective activities are approved.			
		ospective activities are not approved. xplanation and forward to the next administrative level (Dean, Director, or Vice President.)			
The abov	e information is correct and cor	nplete to the best of my knowledge.			
Unit Head Signature Ullullare Date iv 15 08					
PART VI. Review and Approval of Activities by Dean and Others as Required.					
	rector/VP Signature val needed)	Date			
Addition (Signatur	al Reviews res)	Date			
		Date			

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Schrift
First Name:	Michael
Title / Rank:	
College:	Medicine
Dept. / Unit:	- Psychiatry
Appointment	<u>(W/0</u>
University Cor	ntract Period ¹]10 months/12 months/Summer

PART I. Conflict of Interest Screening						
Please attach an explanatory statement for all "yes" responses.						
1. Do you have a consulting or other financial						
relationship with a sponsor of your research? yes* yes*)					
2. Do you or does any member of your family						
have a managerial role or a significant						
financial relationship with a company that						
does business with the University or with a						
company in a field of your research?	0					
3. Do you have non-University professional						
activities or income producing activities						
involving University of Illinois students, or						
other faculty or staff?	ى					
4. Do you or does any member of your immediate						
family have any other relationships,						
commitments, or activities that might present or						
appear to present a conflict of interest or						
commitment with your University of Illinois						
appointment? Such relationships may include						
financial or fiduciary interests or						
uncompensated activities. Report these whether	۱۸.					
Of Hot you defleve the continue is a second	139					
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"						
responses to the questions above. Lists in Part II do NOT						
suffice as explanation.						

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

	mation	

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PART IV. Co	nflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	e activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
An dogori	plete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty of in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospect	ive Activities (<u>2007-2008)</u>
$ ot\!\! egin{picture}(1,0) \put(0,0){\line(0,0){10}} \put$	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	e Activities (<u>2008-2009</u>)
A	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above info	ormation is correct and complete to the best of my knowledge.
Unit Head Sig	nature (1) 1108
PART VI. R	eview and Approval of Activities by Dean and Others as Required.
Dean/Director (If approval no	D=40
Additional Re (Signatures)	views Date
	Date

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

T . NI	Coatt		
Last Name:	Scott		
First Name:	Nelda		
Title / Rank:	Assistant Prof Clinic Psychiatry		
College:	Medicine		
Dept. / Unit:	Psychiatry		
Appointment	<u>68_</u> %		
University Con	ntract Period ¹]10 months/ X 12 months/ _ Summer		
PART II. I	isting of Non-University Inc		

 Please attach an explanatory statement for all "yes" responses. Do you have a consulting or other financial relationship with a sponsor of your research? yes* no Do you or does any member of your family have a managerial role or a significant financial relationship with a company that does business with the University or with a company in a field of your research? yes* no Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? yes* no Do you or does any member of your immediate 				
relationship with a sponsor of your research? yes* x no 2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? yes* x no 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? yes* x no 4. Do you or does any member of your immediate				
have a managerial role or a significant' financial relationship with a company that does business with the University or with a company in a field of your research? yes* ⋈ no 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? yes* ⋈ no 4. Do you or does any member of your immediate				
does business with the University or with a company in a field of your research? ☐ yes* ☒ no 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no 4. Do you or does any member of your immediate				
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? 4. Do you or does any member of your immediate yes* ⋈ no				
activities or income producing activities involving University of Illinois students, or other faculty or staff?				
involving University of Illinois students, or other faculty or staff?				
4. Do you or does any member of your immediate				
family have any other relationships,				
commitments, or activities that might present or				
appear to present a conflict of interest or				
commitment with your University of Illinois				
appointment? Such relationships may include				
financial or fiduciary interests or				
uncompensated activities. Report these whether				
or not you believe the conflict is manageable. yes* no				
*Please list and explain in an attached statement any "yes"				
responses to the questions above. Lists in Part II do NOT				
suffice as explanation.				

come Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

2008-2009 2007-2008 Do you have an Aug.16 - Aug.15 Aug.16 - Aug.15 ownership interest in this **Prospective** Retrospective company / organization? Nature of your activities Days to be Spent in (If so, please explain in Days Spent During For whom (e.g., (see instructions for Current Reporting Period an attached statement.) this Reporting Period company/ organization) examples)

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177.412 as of July 1, 2008.)

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the activity reported and to the best of my knowledge and in my judgment:
No conflict of interest or commitment exists.
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective Activities (2007-2008)
No retrospective activities are reported or all retrospective activities are approved.
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective Activities (2008-2009)
No prospective activities are reported or all prospective activities are approved.
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information is correct and complete to the best of my knowledge.
Unit Head Signature (U) UU UU Date 10/1/08
PART VI. Review and Approval of Activities by Dean and Others as Required.
Dean/Director/VP Signature (If approval needed) Date
Additional Reviews (Signatures) Date
Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Sharma		
First Name:	Rajiv		
Title / Rank:	Professor		
College:	College of Medicine		
Dept. / Unit:	Psychiatry .		
Appointment 100%			
University Contract Period¹ ☐9 months/☐10 months/☒12 months/☐Summer			

1. Do you have a consulting or other financial relationship with a sponsor of your research?	PART I. Conflict of Interest Screening				
relationship with a sponsor of your research?	Please attach an explanatory statement for all "yes" responses.				
 2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? yes* ono 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? yes* ono 4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* ono *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT 	1. Do you have a consulting or other financial				
have a managerial role or a significant financial relationship with a company that does business with the University or with a company in a field of your research? 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? 4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT	relationship with a sponsor of your research? yes* x no				
financial relationship with a company that does business with the University or with a company in a field of your research? 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? 4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT	2. Do you or does any member of your family ²				
does business with the University or with a company in a field of your research? ☐ yes* ☒ no 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no 4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT	have a managerial role or a significant ³				
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff?					
 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff?					
activities or income producing activities involving University of Illinois students, or other faculty or staff?	company in a field of your research? yes* _ no				
involving University of Illinois students, or other faculty or staff?	3. Do you have non-University professional				
other faculty or staff?					
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT					
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commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT	4. Do you or does any member of your immediate				
appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* × no *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT	family have any other relationships,				
commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT	commitments, or activities that might present or				
appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* no *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT	appear to present a conflict of interest or				
financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* no *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT	commitment with your University of Illinois				
uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* no *Please <u>list</u> and <u>explain</u> in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT					
or not you believe the conflict is manageable. yes* yos responses to the questions above. Lists in Part II do NOT					
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT	uncompensated activities. Report these whether				
responses to the questions above. Lists in Part II do NOT	or not you believe the conflict is manageable. yes* [x] no				
	*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"				
suffice as explanation.					
	suffice as explanation.				

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period
			J	

▼ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy pn Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Pare enveron	ndict of interest Communicate Review (Please attach a copy of any referenced explanations) !.
A. Based on th	e activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	uplete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	(Josephin)
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospect	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	ve Activities (<u>2008-2009</u>)
	 No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
ent 1 inf	formation is correct and complete to the best of my knowledge.
The above in	(1)
Unit Head Sig	gnature 9 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	Review and Approval of Activities by Dean and Others as Required.
TATA SAME	KEN (EN SUMED) DECAR OF CONTRACTOR OF CONTRA
Dean/Directo (If approval r	or/VP Signature Date
Additional R (Signatures)	
	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Connolly
First Name:	Sucheta
Title / Rank:	Associate Professor of Clinical Psychiatry
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	100%
University Cor	
9 months/]10 months/⊠12 months/⊡Summer

PART I. Conflict of Interest Screening					
Please attach an explanatory statement for all "yes" responses.					
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☐ no					
2. Do you or does any member of your family ² have a managerial role or a significant ³ financial relationship with a company that					
does business with the University or with a company in a field of your research? yes* no					
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or					
other faculty or staff?					
4. Do you or does any member of your immediate					
family have any other relationships,					
commitments, or activities that might present or					
appear to present a conflict of interest or commitment with your University of Illinois					
appointment? Such relationships may include					
financial or fiduciary interests or					
uncompensated activities. Report these whether					
or not you believe the conflict is manageable. yes* no					
*Please list and explain in an attached statement any "yes"					
responses to the questions above. Lists in Part II do NOT					
suffice as explanation.					

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approve

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.
Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Bared on the activity reported and to the best of my knowledge and in my judgment:
No conflict of interest or commitment exists.
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President).
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree
Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,</u>
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective Activities (2007-2008)
No retrospective activities are reported or all retrospective activities are approved.
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
B. Prospective Activities (2008-2009)
No prospective activities are reported or all prospective activities are approved.
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information is correct and complete to the best of my knowledge.
Unit Head Signature (Ullus W Date 10[1] 08
PART VI. Review and Approval of Activities by Dean and Others as Required.
Dean/Director/VP Signature (If approval needed) Date
Additional Reviews (Signatures) Date
Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Danis
First Name:	Barbara
Title / Rank:	Assistant Professor
College:	Medicine
Dept. / Unit:	Psychiatry IJR
Appointment	80_%
University Con	ntract Period¹]10 months/ጆ12 months/Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* x no
2. Do you or does any member of your family ² have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a company in a field of your research? yes* x no
3. Do you have non-University professional activities or income producing activities
involving University of Illinois students, or
other faculty or staff? yes* \(\sqrt{no} \)
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* x no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- Do not include amounts of compensation.

- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

nours equals one day, rega	ruless of time of day of day	Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	<u>Prospective</u>
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
examples)				
	1			
			1	I

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

- 1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.
- University Policy defines "Family" as one's spouse and children.
- Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)
- ⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

DADTIN	Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based o	n the activity reported and to the best of my knowledge and in my judgment:
V	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As des	complete if question 3 on page 1 of the form is answered affirmatively: scribed by the academic staff member, the involvement of University of Illinois students, faculty staff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V.	Approval of Activities (Please attach a copy of any referenced explanation.)
A. Retrosp	No retrospective activities are reported or all retrospective activities are approved.
<i>∞</i> ≤	Some or all retrospective activities are not approved.
	If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospe	ctive Activities (2008-2009)
k	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above	information is correct and complete to the best of my knowledge.
Unit Head	
PART VI.	Review and Approval of Activities by Dean and Others as Required:
Dean/Direc (If approva	ctor/VP Signature Il needed) Date
Additional (Signature	TO (
	Date

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Davidson
First Name:	Christine
Title / Rank:	Assoc Prof Clinical Psychology
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	100%
University Con9 months/	ntract Period¹ ☐10 months/区12 months/☐Summer

PART I. Conflict of Interest Screening					
Please attach an explanatory statement for all "yes" responses.					
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no					
2. Do you or does any member of your family ²					
have a managerial role or a significant ³					
financial relationship with a company that					
does business with the University or with a					
company in a field of your research? yes* x no					
3. Do you have non-University professional					
activities or income producing activities					
involving University of Illinois students, or					
other faculty or staff?					
4. Do you or does any member of your immediate					
family have any other relationships,					
commitments, or activities that might present or					
appear to present a conflict of interest or					
commitment with your University of Illinois					
appointment? Such relationships may include					
financial or fiduciary interests or					
uncompensated activities. Report these whether					
or not you believe the conflict is manageable. yes* no					
*Please list and explain in an attached statement any "yes"					
responses to the questions above. Lists in Part II do NOT					
suffice as explanation.					

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do <u>not</u> include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	<u>Prospective</u>
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
		-		
		i e	1	i

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Chi. sha: V. Dav.doo, Date 9/2

Please submit to your unit head for administrative review and approval.

- Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.
- ² University Policy defines "Family" as one's spouse and children.
- ³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

	onflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on th	ne activity reported and to the best of my knowledge and in my judgment:
02	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
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As descri	aplete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospect	ive Activities (<u>2007-2008)</u>
Z .	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	re Activities (<u>2008-2009</u>)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
The above info	ormation is correct and complete to the best of my knowledge.
Unit Head Sign	nature Date 10 108
PART VI. R	Leview and Approval of Activities by Dean and Others as Required.
Dean/Director	A/D Signature
(If approval ne	
Additional Re	D (
(Signatures)	Date
	Date

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Davidson
First Name:	Ronald
Title / Rank:	Asst Prof Clinical Psychology
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	100%
University Cor	ntract Period ¹]10 months/X12 months/_Summer

PA	RT I. Conflict of Interest Screening	g				
1, 00 at 10	Please attach an explanatory statement for all "yes" responses.					
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ n						
2. I	2. Do you or does any member of your family ²					
	nave a managerial role or a significant ³					
1	financial relationship with a company that					
	does business with the University or with a					
(company in a field of your research?	yes*	⊠ no			
3. 1	Do you have non-University professional					
{	activities or income producing activities					
i	involving University of Illinois students, or					
	other faculty or staff?	yes*	× no			
4.	Do you or does any member of your immediate					
	family have any other relationships,					
(commitments, or activities that might present or					
	appear to present a conflict of interest or					
	commitment with your University of Illinois					
	appointment? Such relationships may include					
	financial or fiduciary interests or					
	uncompensated activities. Report these whether		-			
	or not you believe the conflict is manageable.	yes*	X no			
	*Please list and explain in an attached statement any "yes"					
	ponses to the questions above. Lists in Part II	do NOT				
suf	fice as explanation.					
150	Duadwaing Activities					

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

			Do you have an	2007-2008	2008-2009
-			ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
	Nature of your activities		company / organization?	Retrospective	<u>Prospective</u>
	(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
	examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
		1	1		

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

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⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

PART IV. Co	nflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	e activity reported and to the best of my knowledge and in my judgment:
V	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As describ	polete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty ff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
PART V Ani	oroval of Activities (Please attach a copy of any referenced explanation.)
	ve Activities (2007-2008)
47	No retrospective activities are reported or all retrospective activities are approved.
₩ T	Some or all retrospective activities are not approved.
_	If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective	Activities (2008-2009)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above infor	mation is correct and complete to the best of my knowledge.
Unit Head Signa	ature Chuyllor M Date 60/1/09
DADENA D	
PARI VI. RE	eview and Approval of Activities by Dean and Others as Required.
Dean/Director/\ (If approval nee	
Additional Revi	ews
(Signatures)	Date
	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	DESA
First Name:	PRAICASI
Title / Rank:	Profesor
College:	Medicine
Dept. / Unit:	Cepchiadry
Appointment	190%
University Con 9 months/	tract Period ¹ 10 months/ <u> </u> 12 months/ <u></u> Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* 🗵 no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* 🔀 no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* 🔀 no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.

* Do not report "various" when reporting retrospective activity.

* Attach additional sheets if necessary

,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	<u>Prospective</u>
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
		1		

⋉ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

P	Δ	V.	R	1	1]	I	I		ļ	١	f	fi	r	n	1	a 1	ti	o	n	

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative regiew and approval. Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

	nflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
	e activity reported and to the best of my knowledge and in my judgment:
A	No conflict of interest or commitment exists.
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	Agree
	Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospectiv	ve Activities (2007-2008)
K	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective	Activities (2008-2009)
DEL	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above infor	mation is extrect and complete to the best of my knowledge.
Unit Head Signa	ature (4) Lumbour M Date 10/5/08
PART VI. Re	eview and Approval of Activities by Dean and Others as Required.
Dean/Director/\ (If approval nee	
Additional Revi (Signatures)	ews Date
	Date

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	De Leon
First Name:	Ovidio
Title / Rank:	Professor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	<u>100</u> %
University Con 9 months/	tract Period¹]10 months/⊠12 months/⊡Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family ² have a managerial role or a significant ³ financial relationship with a company that does business with the University or with a company in a field of your research?
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff?
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* x no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT
suffice as explanation.
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.

0007.000

- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you nave an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
				1

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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PARTIV. Co	inflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	e activity reported and to the best of my knowledge and in my judgment:
Ø	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
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	Agree
	Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.)
	ive Activities (<u>2007-2008)</u>
V	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	e Activities (<u>2008-2009</u>)
X	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above info	rmation is correct and complete to the best of my knowledge.
Unit Head Sign	nature (1) Date 1011/08
PART VI. R	eview and Approval of Activities by Dean and Others as Required.
Dean/Director/ (If approval ne	
Additional Re (Signatures)	views Date
	Date

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Dong	
First Name:	Erbo	
Title / Rank:		
College:	Medicine	<u>:</u>
Dept. / Unit:	Psychiatry	
Appointment	100%	
University Con	tract Period¹]10 months/⊠12 months/∭Sui	nmer

	PART I. Conflict of Interest Screening
	Please attach an explanatory statement for all "yes" responses.
	1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
	2. Do you or does any member of your family ² have a managerial role or a significant ³
`	financial relationship with a company that does business with the University or with a company in a field of your research?
	3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or
	other faculty or staff?
	family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or
	commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or
	uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* yes* no
	*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
	responses to the questions above. Lists in Part II do NOT
	suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
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- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	<u>Prospective</u>
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/organization)	an attached statement.)	this Reporting Period	Current Reporting Period
N/A			·	
IV/A				
		1.4		
		ing satisfaces		

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

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Administrative Review and Approval, UIC RNUA 2008-2009
(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV.	Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based o	the activity reported and to the best of my knowledge and in my judgment:
X	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,
As des	omplete if question 3 on page 1 of the form is answered affirmatively: bribed by the academic staff member, the involvement of University of Illinois students, faculty staff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
DADTV	pproval of Activities (Please attach a copy of any referenced explanation.)
A. Retrosp	ective Activities (2007-2008)
	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved.
	If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President
B. Prospec	ive Activities (<u>2008-2009</u>)
4	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
The above i	iformation is correct and complete to the best of May knowledge.
	(1)0 d 11/0/0/0/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/
Unit Head S	ignature (MMMM MV) Date 10 700
PART VI.	Review and Approval of Activities by Dean and Others as Required.
Dean/Direc	or/VP Signature
(If approva	needed) Date
Additional	
(Signatures	Date
	Date

mater endices

2008 - 2009

Page 2 of 2

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	DWIVEDI
First Name:	YOGESH
Title / Rank:	ASSOCIATE PROFSSOR
College:	MEDICINE
Dept. / Unit:	PSYCHIATRY
Appointment	७ %
University Con 20 months/	ntract Period ¹]10 months/[_12 months/[_Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* X no
2. Do you or does any member of your family ² have a managerial role or a significant ³ financial relationship with a company that
does business with the University or with a company in a field of your research? yes* 🕱 no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes*
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
			1	

🔀 I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest^A and the above information is true to the best of my knowledge. <u>If significant changes in activities occur during the year, this form must be updated.</u>

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Date

09/22/08

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PART IV. Co	onflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.).
A. Based on the	ne activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As descr	aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
DADT V AS	proval of Activities (Please attach a copy of any referenced explanation.)
A Retrospect	tive Activities (2007-2008)
\forall	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved.
	If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	ve Activities (2008-2009)
A	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above info	ormation is correct and complete to the best of my knowledge.
Unit Head Sig	
PART VI. R	eview and Approval of Activities by Dean and Others as Required.
Dean/Director (If approval no	/VP Signature eeded) Date
Additional Re (Signatures)	views Date
	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Farmer
First Name:	Alvin
Title / Rank:	PhD
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	<u>50</u> %
-	ontract Period¹ □10 months/⊠12 months/□Summer
=	

	Serconing
Ď	ART I. Conflict of Interest Screening
1	1 am avalandiory statements
F	
1	. Do you have a consulting or other maneral.
	. Do you have a consuming of carry relationship with a sponsor of your research? yes* no relationship with a sponsor of your family ²
13	1-00 ON MEMBER OF 1002 -
	have a managerial role or a significant ³
1	. 1 TITLE THE THE THE THE TELESTRE
- 1	a field of your resource.
-	1 man 1 mi/// Silv UlU100020
1	
1	activities or income producing students, or
	activities or income producing are involving University of Illinois students, or involving University or Illinois
-	other faculty or staff?
t	and does any member of your members
	family have any other relationships,
	appear to present a conflict of interest or
	appear to present a conflict of interest appear to present a conflict of inter
	appointment? Such relationships may include
	appointment: Such a such as a such a
	uncompensated activities. Report these uncompensated activities. Report these uncompensated activities. Report these uncompensated activities. Report these uncompensated activities.
	or not you believe the conflict is manageable. yes* \(\) no or not you believe the conflict is manageable. yes* \(\) no
	or not you believe the conflict is managed to we will any "yes" *Please <u>list</u> and <u>explain</u> in an attached statement any "yes" *Please I to expect the statement and "yes"
	rasponses to the questions we
ner	suffice as explanation.
	La Academitics

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to
- * Report total number of days, where an accumulation of eight complete this section.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

complete time source	-1- are on accumulation of	Cigit Attaon date		
Report total number of days hours equals one day, regar	dless of time of day or day	of week.	7,007-2000	2008-2009
hours equals one day, regard	41000 01	Do you have all	1. 1. Ang 15	Aug.16 - Aug.15
		ownership interest in the	Retrospective	Prospective Days to be Spent in
i viting		company / organization?	Days Spent During	Current Reporting Period
Nature of your activities	For whom (e.g.,	(If so, please explain in an attached statement.)	this Reporting Period	Current Reporting
(see instructions for	company/ organization)	an attached statements)		
examples)				
			FOUDED TO RE	PORT
	LEXAND ACTIV	VITIES THAT I AM R	EQUINED TO ALL	
	I HAVE NO ACT			

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval. Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

Onlycisty Folicy defines Tailing as one a spouse and crimeren.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. 2008 - 2009

PARILY. Conflictof interest Commitment Parison	
PARTIV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation) A. Based on the activity reported and to the best of my knowledge and in my judgment:	
No conflict of interest or commitment exists.	
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean Director on W. D. L.	
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President).	
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.	<u>ent</u> .)
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice Preside	
me mest daministrative level (Dean, Director, or Vice Preside	<u>:nt</u> .)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.) A. Retrospective Activities (2007-2008)	
(2007 2000)	
No retrospective activities are reported or all retrospective activities are approved.	
Some of all retrospective activities are not appeared.	
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice Presiden	· ·
B. Prospective Activities (2008-2009)	<u>ni.)</u>
No prospective activities are reported or all prospective activities are approved. Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President).	
The above information is represented by the second of the resident to the next daministrative level (Dean, Director, or Vice President	<u>t.)</u>
The above information is correct and complete to the best of my knowledge.	
Unit Head Signature (1) Live W Date 10/5/08	
PART VI. Review and Approval of Activities by Dean and Others as Required.	-
Dean/Director/AB C.	
Dean/Director/VP Signature (If approval needed)	
Date	
Additional Reviews (Signatures)	
Date	
Date	

University of Illinois at Chicago

Academic Staff

2008-2009 Report of

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Fox
Geri
Professor
COM
Psychiatry/GME
<u>100</u> %
ntract Period¹]10 months/⊠12 months/⊡Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family ² have a managerial role or a significant ³
financial relationship with a company that does business with the University or with a company in a field of your research? yes* no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include
financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* x no
*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

⋈ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation			
I affirm that I have read the Univ	ersity's Policy on Conflicts of Comn If significant changes in activities oc	nitment and Interest ⁴ an ccur during the year, this	d the above information is form must be updated.
Academic Staff Member's Signature	$C \rightarrow C$	Date 9	123/08

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

(Governor's salary \$177,412 as of July 1, 2008.)

The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

2008 - 2009

University Policy defines "Family" as one's spouse and children.
 Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor.

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the activity reported and to the best of my knowledge and in my judgment:
No conflict of interest or commitment exists.
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective Activities (2007-2008)
No retrospective activities are reported or all retrospective activities are approved.
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,
B. Prospective Activities (2008-2009)
No prospective activities are reported or all prospective activities are approved.
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information is correct and complete to the best of my knowledge.
Unit Head Signature (Ullus War M) Date 10/1/26
PART VI. Review and Approval of Activities by Dean and Others as Required.
Dean/Director/VP Signature (If approval needed) Date
Additional Reviews (Signatures) Date
Date

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Gonzalez
First Name:	Raul
Title / Rank:	Asst. Porfessor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	100%
University Cor	ntract Period ¹ .]10 months/\(\square\) 12 months/\(\square\) Summer

PART 1. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* y no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff? yes* \(\sigma\) no
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* X no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II, Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

DAIDT III Affirmati		

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approved.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

PARI	[-IV. Co	nflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
Α.	Based on the	e activity reported and to the best of my knowledge and in my judgment:
		No conflict of interest or commitment exists.
		A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
		A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
В.	An deceril	plete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty ff in his/her non-University activities does not appear to be detrimental to those individuals.
		Agree
		Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PAR	TV. Ap	proval of Activities (Please attach a copy of any referenced explanation.)
A	. Retrospecti	ve Activities (2007-2008)
	W_	No retrospective activities are reported or all retrospective activities are approved.
		Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
В	. Prospective	e Activities (<u>2008-2009</u>)
		No prospective activities are reported or all prospective activities are approved.
		Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
7	The above info	ormation is correct and complete to the best of my knowledge.
	Jnit Head Sigi	nature Suite
PAI	RT VI. Ř	eview and Approval of Activities by Dean and Others as Required.
	Dean/Director (If approval ne	/VP Signature Date
	Additional Re (Signatures)	views Date
		Date

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Gorski
First Name:	Jennifer
Title / Rank:	Assistant Professor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	25%
University Co 9 months/	ntract Period ¹]10 months/⊠12 months/∏Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* x no
2. Do you or does any member of your family ² have a managerial role or a significant ³
financial relationship with a company that does business with the University or with a company in a field of your research?
3. Do you have non-University professional activities or income producing activities
involving University of Illinois students, or other faculty or staff?
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or
appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include
financial or fiduciary interests or uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* × no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT
suffice as explanation.

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PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

hours equals one day, rega	rdless of time of day or day	Do you have an	2007-2008	2008-2009
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	ownership interest in this company / organization? (If so, please explain in an attached statement.)	Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period
	- Control	TETES TITATIAM DI	COURED TO REP	ORT

| I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

Swing His Location
PART-III. Affirmation I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is
I affirm that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University's Policy on Conflicts of Commune that I have read the University of Commune the Unive
true to the best of my knowledge. It significant changes in optivities occur during the year, and year,
1013100
Academic Staff Member's Signature Date Date
Please submit to your unit head for administrative review and approval.

Please submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for turners are the large submit to your unit nette for the large submit to your unit net to your unit nette for the large submit to your unit nette for the large submit

² University Policy defines "Family" as one's spouse and children.

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University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Hanbourer-Costa
First Name:	Ingeborg
Title / Rank:	Visiting Associate Professi
College:	
Dept. / Unit:	Psychiatry
Appointment	<u>50</u> %
University Co	ntract Period ¹ 10 months/12 months/Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* 2 no
2. Do you or does any member of your family ² have a managerial role or a significant ³
financial relationship with a company that does business with the University or with a
company in a field of your research? yes* no
3. Do you have non-University professional
activities or income producing activities involving University of Illinois students, or
other faculty or staff? yes* 🗶 no
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

2008-2009 2007-2008 Do you have an Aug.16 - Aug.15 Aug.16 - Aug.15 ownership interest in this **Prospective** Retrospective company / organization? Nature of your activities Days Spent During Days to be Spent in (If so, please explain in (see instructions for For whom (e.g., Current Reporting Period this Reporting Period an attached statement.) company/ organization) examples)

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

iranana (C	milliet of Interest/Commitment Review (Heave atach a convoluny referenced explanation).
A. Based on th	ne activity reported and to the best of my knowledge and in my judgment:
V	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
	ibed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
SENERY A	oproval of Activities (Please attach a copy of any referenced explanation)
PART V. A	tive Activities (2007-2008)
A. Konospo	No retrospective activities are reported or all retrospective activities are approved.
	The state of the s
—	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospecti	ve Activities (<u>2008-2009</u>)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above inf	formation is correct and complete to the best of my knowledge.
	(all 01111 / a se M Date 10/5/08
Unit Head Si	gnature
Barneviel	Review and Approval of Activities by Dean and Others as Required. 25 120 150
Dean/Directo (If approval r	or/VP Signature Date Dete
Additional R (Signatures)	
	Date

University of Illinois at Chicago

Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	HARROW
First Name:	MARTIN
Title / Rank:	Proposial
College:	MODICING
Dept. / Unit:	Asychurus
	oo %
9 months/	tract Period ¹ / 10 months/ 12 months/ Summer

PART I. Conflict of Interest Screening.			
Please attach an explanatory statement for all "yes" responses.			
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* no			
2. Do you or does any member of your family ²			
have a managerial role or a significant ³			
financial relationship with a company that does business with the University or with a			
company in a field of your research?			
3. Do you have non-University professional			
activities or income producing activities			
involving University of Illinois students, or			
other faculty or staff?			
4. Do you or does any member of your immediate			
family have any other relationships,			
commitments, or activities that might present or			
appear to present a conflict of interest or			
commitment with your University of Illinois			
appointment? Such relationships may include			
financial or fiduciary interests or			
uncompensated activities. Report these whether			
or not you believe the conflict is manageable. yes* no			
*Please list and explain in an attached statement any "yes"			
responses to the questions above. Lists in Part II do NOT			
suffice as explanation.			

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Control Reporting Period
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	THAVE NO ACTIV	TTIES THAT I AM DI	TOTHRED TO REP	ORT

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. <u>If significant changes in activities occur during the year, this form must be updated.</u>

Academic Staff Member's Signature

Warten Harran

9-23-of

Please submit to your unit head for administrative review and approval.

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines 'Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

Parki i ve co	inflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on th	e activity reported and to the best of my knowledge and in my judgment:
V	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	aplete if question 3 on page 1 of the form is answered affirmatively: ibed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	s est oped explanation)
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.) tive Activities (2007-2008)
A. Retrospect	No retrospective activities are reported or all retrospective activities are approved.
	· · · · · · · · · · · · · · · · · · ·
184	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	ve Activities (<u>2008-2009</u>)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above inf	formation is correct and complete to the best of my knowledge.
	(1) 0111 / 2 1 M Date 10/5/08
Unit Head Sig	gnature - The state of the stat
Teatra eavised	Review and Approval of Activities by Dean and Others as Required.
Dean/Directo (If approval r	r/VP Signature Date
Additional R (Signatures)	
	Date

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

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	nflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	activity reported and to the best of my knowledge and in my judgment:
W.	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As describ	lete if question 3 on page 1 of the form is answered affirmatively: ed by the academic staff member, the involvement of University of Illinois students, faculty f in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	roval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospectiv	e Activities (2007-2008)
VZ	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective	Activities (2008-2009) the
V	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above inform	nation is correct and complete to the best of my knowledge.
Unit Head Signa	Marine Harman MA
	ans her non-University activities does
PART VI. Re	view and Approval of Activities by Dean and Others as Required.
Dean/Director/V (If approval need	
Additional Reviews (Signatures)	Date
	Date
	Fretrospective activities are not approved.

and is correct and complete to the best of my knowled a

2008 - 2009

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

Parelle Co	inflict of Interest/Commutanent Review delesse attach a copy of any referenced explanationers.
A. Based on th	e activity reported and to the best of my knowledge and in my judgment:
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	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	of one referenced explanation;)
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.) ive Activities (2007-2008)
A. Retrospec	No retrospective activities are reported or all retrospective activities are approved.
	order of the company
Box 4	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
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m linf	ormation is correct and complete to the best of my knowledge.
The above in	$\langle A \rangle = \langle A $
Unit Head Sig	gnature 9 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
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Composition of the Composition o	
Dean/Directo (If approval r	r/VP Signature Date needed)
Additional R (Signatures)	eviews Date
	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Herbener
First Name:	Ellen
Title / Rank:	Assistant Professor
College:	Medicine and LAS
Dept. / Unit:	Psychiatry and Psychology
Appointment	100%
University Con ⊠9 months/	tract Period ¹]10 months/12 months/Summer

PART I. Conflict of Interest Screening				
Please attach an explanatory statement for all "yes" responses.				
1. Do you have a consulting or other financial				
relationship with a sponsor of your research? yes* no				
2. Do you or does any member of your family ²				
have a managerial role or a significant ³				
financial relationship with a company that				
does business with the University or with a				
company in a field of your research? yes* no				
3. Do you have non-University professional				
activities or income producing activities				
involving University of Illinois students, or				
other faculty or staff? ☐ yes* ☐ no				
4. Do you or does any member of your immediate				
family have any other relationships,				
commitments, or activities that might present or				
appear to present a conflict of interest or				
commitment with your University of Illinois				
appointment? Such relationships may include				
financial or fiduciary interests or				
uncompensated activities. Report these whether				
or not you believe the conflict is manageable. yes* no				
*Please list and explain in an attached statement any "yes"				
responses to the questions above. Lists in Part II do NOT				
suffice as explanation.				

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

<u>+</u>		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
	<u> </u>	<u> </u>	<u>J</u>	

⋈ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
PARTIV. Co	inflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on th	e activity reported and to the best of my knowledge and in my judgment:
A	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
نسم ممل ـ ٨	bed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
DADT V An	proval of Activities (Please attach a copy of any referenced explanation.)
A Retrospect	ive Activities (2007-2008)
	No retrospective activities are reported or all retrospective activities are approved.
	d to the section activities are not enproved
لـــــا	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	re Activities (<u>2008-2009</u>)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
The above info	ormation is correct and complete to the best of my knowledge.
	(NOW ILLY ON WAY DOES / OLLIOX
Unit Head Sig	
PART VI. R	eview and Approval of Activities by Dean and Others as Required.
Dean/Director (If approval ne	
Additional Re (Signatures)	views Date
,	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Hill		
First Name:	Carri		
Title / Rank:	Assistant Professor		
College:	Medicine		
Dept. / Unit:	Psychiatry/IJR		
Appointment	100%		
University Contract Period¹ ☐9 months/☐10 months/区12 months/☐Summer			

PART I. Conflict of Interest Screening		
Please attach an explanatory statement for all "yes" res	ponses	s.
1. Do you have a consulting or other financial relationship with a sponsor of your research?	/es* [2	× no
2. Do you or does any member of your family ² have a managerial role or a significant ³ financial relationship with a company that does business with the University or with a		
	/es* [X] no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or		
	yes* [≥	x no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include		
financial or fiduciary interests or uncompensated activities. Report these whether	a* F	⊽ l
	yes*	× no
*Please <u>list</u> and <u>explain</u> in an attached statement any responses to the questions above. Lists in Part II do N suffice as explanation.		

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	<u>Prospective</u>
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
		:		
	I	4		1

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

9-22-08

Please submit to your unit head for administrative review and approval.

- Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.
- University Policy defines "Family" as one's spouse and children.
- Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)
- ⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009
(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

A. Based on the activity reported and to the best of my knowledge and in my judgment: No conflict of interest or commitment tay exist, but is being monitored by the department. A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.) A conflict of interest or commitment may exist that warrants further review. A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.) A described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals. Agree	PART IV: Condict of Interest Commitment Review (Please and	cien copy of any referenced explanations) a
A conflict of interest or commitment may exist, but is being monitored by the department. Iso, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.) A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.) B. Please complete if question 3 on page 1 of the form is answered affirmatively. As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals. Agrec	A. Based on the activity reported and to the best of my knowledge and in my judgment	nt:
A conflict of interest or commitment may exist that warrants further review. If so, please attach on explanation and forward to the next administrative level (Dean, Director, or Vice President.)	No conflict of interest or commitment exists.	11 d. Lucaturant
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals. Agre	If so, please attach an explanation and forward to the next administ	ranve tever (Beath, Bhrester) s.
As described by the academic staff member, the involvement of inversity and/or staff in his/her non-University activities does not appear to be detrimental to those individuals. Agre	A conflict of interest or commitment may exist that warrants further If so, please attach an explanation and forward to the next administ	review. rative level (Dean, Director, or Vice President.)
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.) PART V. Approval of Activities (Please attach a copy of any referenced explanation) A. Retrospective Activities (2007-2008) No retrospective activities are reported or all retrospective activities are approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.) B. Prospective Activities (2008-2009) No prospective activities are reported or all prospective activities are approved. Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.) The above information is correct and complete to the best of my knowledge. Unit Head Signature Date Dean/Director/VP Signature (If approval needed) Additional Reviews (Signatures)	· · · · · · · · · · · · · · · · · · ·	iversity of Illinois students, faculty e detrimental to those individuals.
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Unit Head Signature PART VI. Review and Approval of Activities by Dean and Others as Required. Dean/Director/VP Signature (If approval needed) Additional Reviews (Signatures) Date Date	The shave information is correct and complete to the best of my knowledge.	
Dean/Director/VP Signature (If approval needed) Additional Reviews (Signatures) Date Date	Date Date	10/5/08
Dean/Director/VP Signature (If approval needed) Additional Reviews (Signatures) Date Date	Unit Head Signature	
Dean/Director/VP Signature (If approval needed) Additional Reviews (Signatures) Date Date	PARINE Review and Approval of Activities by Dean and	Others as Required.
(If approval needed) Additional Reviews (Signatures) Date Date		
(Signatures)		
	1336	
Date	Date	

University of Illinois at Chicago

Academic Staff

2008-2009 Report of

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	HILL
First Name:	Scot
Title / Rank:	RESEARCH ASST PROF
College:	MEDICINE
Dept. / Unit:	PSYCHIATRY
Appointment /	00%
University Con	
9 months/	10 months/☑12 months/☐Summer

DADE I Conflict of Interest Seventing
PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* no
3. Do you have non-University professional
activities or income producing activities
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responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do <u>not</u> include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Date 007 01.2008

Please submit to your unit head for administrative review and approval.

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PARA ELVECT	milickof interest/Commitment Review (Pease interest copyrection and referenced explanation) &
A. Based on th	e activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	bed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospect	ive Activities (2007-2008)
	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	ve Activities (<u>2008-2009</u>)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above inf	ormation is correct and complete to the best of my knowledge.
	(a) (a) (a) (b) (a) (b) (b) (b) (c) (c) (c) (c) (c) (c) (c) (c) (c) (c
Unit Head Sig	maiure - The state of the state
PARIPATE I	Review and Approval of Activities by Dean and Others as Required.
Dean/Directo (If approval n	r/VP Signature Date
Additional Ro	
, ,	Date

University of Illinois at Chicago

Academic Staff

2008-2009 Report of

Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: HOFFMAN
First Name: TRUIN
Title/Rank: Lecturer
College: Medicine
Dept. / Unit: Psychiatry
Appointment 20%
University Contract Period ¹ 9 months/ 10 months/ 12 months/ Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research?
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research?
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff? ☐ yes* ☑ no
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* v no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Hours equais one day, rega	Tuless of time of day of day	Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
				ODE

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

																		I	

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

(4.). Date (0-23-08)

Please submit to your unit head for administrative review and approval.

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PARTIN, Co	nflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on th	e activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A a donori	plete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
DAIDT V	proval of Activities (Please attach a copy of any referenced explanation.)
A Retrospect	ive Activities (2007-2008)
	No retrospective activities are reported or all retrospective activities are approved.
205	a 11 decrease direction are not emproyed
	Some of all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	re Activities (<u>2008-2009</u>)
1	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	1
The above info	ormation is correct and complete to the best of my knowledge.
Unit Head Sign	
PART VI R	eview and Approval of Activities by Dean and Others as Required.
Dean/Director (If approval ne	
Additional Re (Signatures)	views Date
	Date

University of Illinois at Chicago

Academic Staff

2008 2000 Papart of

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Hur
First Name:	Kwan
Title / Rank:	Adj. Assistnat Professor
College:	Medicine
Dept. / Unit:	Psychiatriy
Appointment	<u>50</u> %
University Con9 months/	tract Period ¹ 10 months/ 12 months/ Summer

Please attach an explanatory statement for all "yes" responses. 1. Do you have a consulting or other financial relationship with a sponsor of your research? □ yes* ⋈ no 2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? □ yes* ⋈ no 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? □ yes* ⋈ no 4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. □ yes* ⋈ no *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.	PART I. Conflict of Interest Screening
relationship with a sponsor of your research? ☐ yes* ☐ no 2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☐ no 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☐ no 4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☐ no *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT	Please attach an explanatory statement for all "yes" responses.
have a managerial role or a significant ³ financial relationship with a company that does business with the University or with a company in a field of your research?	
 Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff?	have a managerial role or a significant ³ financial relationship with a company that does business with the University or with a
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* no *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT	3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or
	4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* no *Please list and explain in an attached statement any "yes"

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
			I	

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Ewan Hull

10/22/08

Please submit to your unit head for administrative review and approval.

- Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.
- ² University Policy defines "Family" as one's spouse and children.
- ³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Co	mmitment Review (Please attach a copy of any referenced explanation.)
A. Based on the activity reported and to the	best of my knowledge and in my judgment:
No conflict of interest or co	mmitment exists.
	nmitment may exist, but is being <u>monitored by the department.</u> unation and forward to the next administrative level (Dean, Director, or Vice President.)
	nmitment may exist that warrants further review. Ination and forward to the next administrative level (Dean, Director, or Vice President.)
· · · · · · · · · · · · · · · · · · ·	of the form is answered affirmatively: If member, the involvement of University of Illinois students, faculty sity activities does not appear to be detrimental to those individuals.
Agree	
Disagree If so, please attach an explo	unation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Approval of Activities	Please attach a copy of any referenced explanation.)
A. Retrospective Activities (2007-2008)	The state of the s
No retrospective activities a	are reported or all retrospective activities are approved.
Some or all retrospective a If so, please attach an explo	ctivities are not approved. anation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective Activities (2008-2009)	
Some or all declared prospe	e reported or all prospective activities are approved. Extitive activities are not approved. In a nation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information is orrect and comple	te to the be st of my knowledge.
Unit Head Signature	OUE M Date 10/23/02
PART VI. Review and Approval	of Activities by Dean and Others as Required.
Dean/Director/VP Signature (If approval needed)	Date
Additional Reviews (Signatures)	Date
	Date

University of Illinois at Chicago Academic Staff 2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Jacob
First Name:	Suma
Title / Rank:	Asst. Prof.
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	<u>100</u> %
University Cor	ntract Period ¹]10 months/区12 months/ Summer

PART I. Conflict of Interest Screening Please attach an explanatory statement for all "yes" responses. 1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* ⋈ no 2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? yes* ⋈ no 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? yes* ⋈ no
 Do you have a consulting or other financial relationship with a sponsor of your research?
 2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? yes* ▼ no 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or
activities or income producing activities involving University of Illinois students, or
Other faculty of staff:
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* xi no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an ownership interest in this	2007-2008 Aug.16 - Aug.15	2008-2009 Aug.16 - Aug.15
Nature of your activities (see instructions for	For whom (e.g.,	company / organization? (If so, please explain in	Retrospective Days Spent During	Prospective Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

Parrena (Co	inflict of Interest Commitment Review (Please attach a copy of any referenced explanations) &
A. Based on th	ne activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
. 1	aplete if question 3 on page 1 of the form is answered affirmatively: ibed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
DADENS A	oproval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospec	tive Activities (2007-2008)
71. 10.105	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	ve Activities (<u>2008-2009</u>)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above inf	formation is correct and complete to the best of my knowledge.
Unit Head Sig	101508
paterated	Review and Approval of Activities by Dean and Others as Required.
Dean/Directo (If approval r	needed) Date
Additional R (Signatures)	
	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Kaplan
First Name: Kalman J.
Title/Rank: Professor
College: Medicul
Dept. / Unit: Byllyahy
Appointment 65%
University Contract Period ¹ 9 months/10 months/12 months/2 Summer
1

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* x no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* Yno
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff? yes* \(\sqrt{y} \) no
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* yano
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
				1

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Date 1150.5,2008

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009
(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Co	onflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	ne activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As descri	bed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
PART V. An	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospecti	ve Activities (2007-2008)
Val	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved.
	If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective	e Activities (2008-2009)
	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above infor	mation is correct and complete to the best of my knowledge.
Unit Head Signa	ature Muyllane M Date 115/08
ART VI. Re	eview and Approval of Activities by Dean and Others as Required.
Dean/Director/V (If approval nee	
Additional Revi (Signatures)	ews Date
	Date

University of Illinois at Chicago Academic Staff 2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Keedy	
First Name:	Sarah	
Title / Rank:	Research Assistant Professor	
College:	Medicine	
Dept. / Unit:	Psychiatry	
Appointment	100%	
University Contract Period¹ ☐9 months/☐10 months/区12 months/☐Summer		

PART I. Conflict of Interest Screening	
Please attach an explanatory statement for all "yes" responses.	
	no
2. Do you or does any member of your family ² have a managerial role or a significant ³ financial relationship with a company that does business with the University or with a company in a field of your research?	no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or	no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether	
or not you believe the conflict is manageable. yes*	no
*Please list and explain in an attached statement any "yes"	
responses to the questions above. Lists in Part II do NOT	
suffice as explanation.	

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
	1	ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
		1		

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009
(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

Parke Ave Co	miliet of Interest/Commitment Review (Perseattach a copy of any referenced explanation)
A. Based on th	e activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A a docor	aplete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
DADT V	proval of Activities (Please attach a copy of any referenced explanation.)
A Retrospect	ive Activities (2007-2008)
	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	ve Activities (<u>2008-2009</u>)
	 No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above info	ormation is correct and complete to the best of my knowledge.
Unit Head Sig	nature (July Date 10/5/08
Parlievici;	keview and Approval of Avejuities by Dean and Others as Required
Dag- Director	r/VP Signature
(If approval n	
Additional Re (Signatures)	
	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

Non-University Activities (RNUA)	relationship with	h a sponsor of your res	earch? yes* no	
DICCI OCUDE AND		2. Do you or does any member of your family ²		
DISCLOSURE AND	1	have a managerial role or a significant ³		
REQUEST FOR PRIOR		nship with a company	1	
		vith the University or w		
APPROVAL		eld of your research?	yes* no	
		n-University profession		
1 - 4 N	1	ome producing activitie	4	
Last Name: 1C12 A QS	.	involving University of Illinois students, or other faculty or staff?		
First Name: MARILYN	4. Do you or does	any member of your ir		
Title/Rank: MD ASSOC Prof.	commitments, o	other relationships, or activities that might	-	
College: Madicine Dept. / Unit: Psychiatr		nt a conflict of interest ith your University of I		
0		uch relationships may	include	
Dept. / Unit: Psychiatry	- I	iciary interests or		
		activities. Report these		
Appointment / O D %		eve the conflict is mana		
University Contract Period ¹		<u>cplain</u> in an attached s uestions above. Lists i		
9 months/10 months/12 months/Summer	suffice as explana		n I uni II uo 1401	
~	suffice as explaina	tion.		
PART II. Listing of Non-University In	come Producing 2	Activities		
* If your appointment is less than 75% time, you do not nee		le amounts of compensati		
complete this section.		"various" when reporting	g retrospective activity.	
* Report total number of days, where an accumulation of ei hours equals one day, regardless of time of day or day of	gnt * Attach addition	onal sheets if necessary		
nours equals one day, regardless of time of day of day of	o you have an	2007-2008	2008-2009	
1	wnership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15	
	ompany / organization?	Retrospective	Prospective	
()	f so, please explain in	Days Spent During	Days to be Spent in	
examples) company/ organization) a	n attached statement.)	this Reporting Period	Current Reporting Period	
I HAVE NO ACTIVIT	IES THAT I AM RI	EQUIRED TO REP	<u>ORT</u>	
PART III. Affirmation		ones ones in the second of the		
I affirm that I have read the University's <i>Policy on</i>	Conflicts of Commitm	ent and Interest ⁴ and	the above information is	
true to the best of my knowledge. If significant cha	nges in activities occur	during the year, this fo	orm must be updated.	
		_ /		
Academic Staff Member's Signature Miles	_	Date 9/1	9/08	
Please submit to your unit head for administrative review			1	
	ana approvat.			
Check all that apply. The University contract period includes evenings University Policy defines "Family" as one's spouse and children.	weekends and holidays during	the term of employment.		

Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor.

PART I. Conflict of Interest Screening

1. Do you have a consulting or other financial

Please attach an explanatory statement for all "yes" responses.

(Governor's salary \$177,412 as of July 1, 2008.)

The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PARTIY. C	milliof of Interest/Commitment Review (Please attach a convolant referenced explanation).
A. Based on th	ne activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
Ac decor	ibed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Ap	oproval of Activities (Please attach a copy of any referenced explanation.) tive Activities (2007-2008)
\	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	ve Activities (<u>2008-2009</u>)
M	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above info	ormation is correct and complete to the best of my knowledge.
Unit Head Sig	nature (July Mar N) Date 10508
epatrientes	keview and Approval of Activities by Dean and Others as Required.
Dean/Director (If approval n	r/VP Signature eeded) Date
Additional Re (Signatures)	
	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

1/
Last Name: Won
,
First Name: Eugene
Title / Rank: Asst. Trot.
1,
College: Medicine
Dept. / Unit: Eychla7ny
Appointment 60 %
University Contract Period ¹
9 months/ 10 months/ 12 months/ Summer

DADON C. G. C. C.
PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do <u>not</u> report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
		-		
		1		1

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Date 10/3/03

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PARRI	W.Con	flict of Interest Commutment Review (Peasenfacts) copyorate references explanations)
Α.	Based on the	activity reported and to the best of my knowledge and in my judgment:
	T	No conflict of interest or commitment exists.
		A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
		A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
В.	A .1	lete if question 3 on page 1 of the form is answered affirmatively: ed by the academic staff member, the involvement of University of Illinois students, faculty f in his/her non-University activities does not appear to be detrimental to those individuals.
		Agree
		Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
DA DE	r 17 - A - 5	royal of Activities (Please attach a copy of any referenced explanation.)
FAR	Retrospectiv	e Activities (2007-2008)
71.	No. 100 per la constant de la consta	No retrospective activities are reported or all retrospective activities are approved.
		the distriction are not approved
	L	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
В.	Prospective	Activities (2008-2009)
		No prospective activities are reported or all prospective activities are approved.
		Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
T	he above infor	mation is correct and complete to the best of my knowledge.
		10/5/08
U	nit Head Signa	auto Control of the C
PAR		sylew and Approval of Activities by Dean and Others as Required. 25
n	ean/Director/\	/P Signature
	f approval nee	
A	dditional Rev	iews _
	Signatures)	
		Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Larson		
First Name:	John		
Title / Rank:	Associate Professor		
College:	Medicine		
Dept. / Unit:	PSYCHIATRY		
Appointment	100%		
University Contract Period ¹ 9 months/10 months/12 months/Summer			

PART I. Conflict of Interest Screening	
Please attach an explanatory statement for all "yes" respon	ses.
1. Do you have a consulting or other financial	
relationship with a sponsor of your research? yes*	× no
2. Do you or does any member of your family ²	
have a managerial role or a significant ³	
financial relationship with a company that	
does business with the University or with a	
company in a field of your research? yes*	× no
3. Do you have non-University professional	
activities or income producing activities	
involving University of Illinois students, or	
other faculty or staff? yes*	× no
4. Do you or does any member of your immediate	-ce ^{rti}
family have any other relationships,	356%
commitments, or activities that might present or	1000
appear to present a conflict of interest or	
commitment with your University of Illinois	
appointment? Such relationships may include	*.
financial or fiduciary interests or	- ;
uncompensated activities. Report these whether	1)()
or not you believe the conflict is manageable. yes*	× no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes	
responses to the questions above. Lists in Part II do NOT	
suffice as explanation.	
	. 1955

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

English (Ma Promise - Ma		Do you have an ownership interest in this	2007-2008 Aug.16 - Aug.15	2008-2009 Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
none.				
				iio
1 E				

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

eview and approval.

1 Checkall that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Please submit to your unit head for administrative

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward format they receive and review to the Office of the Viscolius for Provided for Review and Provided for Review and Revie forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PARTIV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanations).
A. Based on the activity reported and to the best of my knowledge and in my judgment:
No conflict of interest or commitment exists.
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective Activities (2007-2008)
No retrospective activities are reported or all retrospective activities are approved.
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
B. Prospective Activities (2008-2009)
No prospective activities are reported or all prospective activities are approved.
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information is correct and complete to the best of my knowledge.
Unit Head Signature Unit Head Signature Date Date Date
PARE VI Review and Approximation Activities by Dean and Others as Required.
Dean/Director/VP Signature (If approval needed) Date
Additional Reviews (Signatures) Date
Date

University of Illinois at Chicago

Academic Staff

2008-2009 Report of
Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Loftin
First Name:	Rachel
Title / Rank:	Assistant Professor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	<u>100</u> %
University Cor	ntract Period ¹]10 months/区12 months/Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family have a managerial role or a significant financial relationship with a company that does business with the University or with a company in a field of your research?
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? yes* yes yes yes yes yes yes yes ye
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do \underline{not} include amounts of compensation.

2007 2000

- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
		<u> </u>	<u> </u>	

▼ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. <u>If significant changes in activities occur during the year, this form must be updated.</u>

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Date 9/26/08

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

the contract of the contract o	onflict of Interest/Commitment Review	The state of the s
A. Based on the	ne activity reported and to the best of my knowledge and in	my judgment:
	No conflict of interest or commitment exists.	
	A conflict of interest or commitment may exist, but is be If so, please attach an explanation and forward to the ne	sing <u>monitored by the department.</u> ext administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warn If so, please attach an explanation and forward to the ne	ants further review. ext administrative level (Dean, Director, or Vice President.)
As descri	aplete if question 3 on page 1 of the form is answered affirm bed by the academic staff member, the involvem aff in his/her non-University activities does not a	ent of University of Illinois students, faculty
	Agree	
	Disagree <u>If so, please attach an explanation and forward to the ne</u>	ext administrative level (Dean, Director, or Vice President.)
DADTA AN	proval of Activities (Please attach a copy of any	unformed avilanction \
	ive Activities (2007-2008)	referenced explanation.)
A.	No retrospective activities are reported or all retrospecti	ve activities are approved.
	Some or all retrospective activities are not approved.	
	If so, please attach an explanation and forward to the n	ext administrative level (Dean, Director, or Vice President.)
B. Prospectiv	e Activities (<u>2008-2009</u>)	
	No prospective activities are reported or all prospective	activities are approved.
	Some or all declared prospective activities are not appro If so, please attach an explanation and forward to the ne	ved. xt administrative level (Dean, Director, or Vice President.)
The above info	rmation is correct and complete to the best of my knowled;	ge.
Unit Head Sigr	nature () () () () () () () () () (Date
PART VI. R	eview and Approval of Activities by Dea	an and Others as Required.
Dean/Director/ (If approval ne		Date
Additional Rev	riews	Date
(
		Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Heilman
First Name:	Keri
Title / Rank:	Visiting Research Asst Professor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	100%
University Con 9 months/	tract Period ¹ 10 months/\summer 12 months/\summer

PART I. Conflict of Interest Screening	ng	
Please attach an explanatory statement for all "ye		ses.
1. Do you have a consulting or other financial relationship with a sponsor of your research?	☐ yes*	⊠ no
2. Do you or does any member of your family have a managerial role or a significant ha		
financial relationship with a company that		
does business with the University or with a company in a field of your research?	yes*	⊠ no
3. Do you have non-University professional		
activities or income producing activities involving University of Illinois students, or		
other faculty or staff?	☐ yes*	🛛 no
4. Do you or does any member of your immediate	;	
family have any other relationships,		
commitments, or activities that might present o	r	
appear to present a conflict of interest or		
commitment with your University of Illinois		
appointment? Such relationships may include		
financial or fiduciary interests or		
uncompensated activities. Report these whether		5 7
or not you believe the conflict is manageable.	yes*_	⊠ no
*Please <u>list</u> and <u>explain</u> in an attached statement		"
responses to the questions above. Lists in Part II	do NOT	
suffice as explanation.		

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
37.		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
	1			
		-		

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

_ Date

1/22/08

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Cook

Last Name

Last Ivallic.	COOK
First Name:	Edwin
Title / Rank:	Professor
College:	Medicine
Dept. / Unit:	Psychiatry/IJR
Appointment	<u>100</u> %
University Cor	ntract Period¹]10 months/⊠12 months/⊡Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family ² have a managerial role or a significant ³
financial relationship with a company that does business with the University or with a
company in a field of your research? yes* 🗵 no
3. Do you have non-University professional activities or income producing activities
involving University of Illinois students, or other faculty or staff?
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* yes no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

	larcos or time or day or day	Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
	<u> </u>		<u> </u>	L

|X| I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

LANT III. AIIIIIIIIIIIIII					
I affirm that I have read the Univ	ersity's <i>Policy on Con</i>	flicts of Commitment	t <i>and Interest</i> ⁴ an	d the above	e information is
true to the best of my knowledge.	If significant changes	in activities occur du	ring the year, this	form must	<u>be updated.</u>
•	01-12		_	1	~ "C

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

² University Policy defines "Family" as one's spouse and children.

9/24/2008

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009
(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

	onflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	e activity reported and to the best of my knowledge and in my judgment:
Ø	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,)
As descri	plete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospect	ive Activities (<u>2007-2008)</u>
V	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President,
B. Prospectiv	e Activities (<u>2008-2009</u>)
	 No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above info	ormation is contect and complete to the best of my knowledge.
Unit Head Sign	nature (4) luy luh M Date 10/1/08
PART VI. R	eview and Approval of Activities by Dean and Others as Required.
Dean/Director (If approval ne	
Additional Re (Signatures)	views Date
	Date

Administrative Review and Approval, UIC RNUA 2008-2009
(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the activity reported and to the best of my knowledge and in my judgment:
No conflict of interest or commitment exists.
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective Activities (2007-2008)
No retrospective activities are reported or all retrospective activities are approved.
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective Activities (2008-2009)
No prospective activities are reported or all prospective activities are approved.
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information is correct and complete to the best of my knowledge.
Unit Head Signature WWW MOOVE W Date 10/27/08
PART VI. Review and Approval of Activities by Dean and Others as Required.
Dean/Director/VP Signature (If approval needed) Date
Additional Reviews (Signatures) Date
Date

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conf	lict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the a	ctivity reported and to the best of my knowledge and in my judgment:
N N	lo conflict of interest or commitment exists.
☐ A <u>I</u> I	conflict of interest or commitment may exist, but is being monitored by the department. So, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
☐ A	conflict of interest or commitment may exist that warrants further review. So, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As described	The if question 3 on page 1 of the form is answered affirmatively: I by the academic staff member, the involvement of University of Illinois students, faculty in his/her non-University activities does not appear to be detrimental to those individuals.
□ A	gree
	visagree so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Appro	oval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective A	Activities (2007-2008)
N	o retrospective activities are reported or all retrospective activities are approved.
□ s	ome or all retrospective activities are not approved. So, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
B. Prospective Ac	tivities (2008-2009)
No.	prospective activities are reported or all prospective activities are approved.
☐ So <u>If s</u>	ome or all declared prospective activities are not approved. So, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above informat	ion is correct and complete to the best of my knowledge.
Unit Head Signature	
PART VI. Revio	ew and Approval of Activities by Dean and Others as Required.
Dean/Director/VP S (If approval needed)	
Additional Reviews (Signatures)	Date
	Date

University of Illinois at Chicago

Academic Staff

2008-2009 Report of

Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Charney				
First Name:	Elizabeth				
Title / Rank:	MD, Assistant Clinical Professor				
College:	UIC				
Dept. / Unit:	Psychiatry				
Appointment	<u>80</u> %				
University Cor	ntract Period ¹]10 months/\(\overline{\mathbb{N}}\)12 months/\(\overline{\mathbb{S}}\)Summer				

. [PART I. Conflict of Interest Screening
	Please attach an explanatory statement for all "yes" responses.
	1. Do you have a consulting or other financial
-	relationship with a sponsor of your research? yes* x no
	2. Do you or does any member of your family ²
٠.	have a managerial role or a significant ³
	financial relationship with a company that
	does business with the University or with a
	company in a field of your research? yes* x no
	3. Do you have non-University professional
	activities or income producing activities
	involving University of Illinois students, or
	other faculty or staff?
	4. Do you or does any member of your immediate
	family have any other relationships,
	commitments, or activities that might present or
	appear to present a conflict of interest or
	commitment with your University of Illinois
	appointment? Such relationships may include
•	financial or fiduciary interests or
	uncompensated activities. Report these whether
	or not you believe the conflict is manageable. yes* no
	*Please list and explain in an attached statement any "yes"
	responses to the questions above. Lists in Part II do NOT
	suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do <u>not</u> include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities	: # 1 %	company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
	48 44 -0			
	1000 F 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	family have ac	other com	
. 11	unt Clinical Profes	or comme	4	

X I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

区12 mental

Date 10/1/08

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

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Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

University of Illinois at Chicago Academic Staff 2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	DAVIS		. • 1 }
First Name:	JOHN M		
Title / Rank:	Pro-16550	·γ	
College:	Modica	1	
Dept. / Unit:	Psychiai	~0 Ly	
Appointment /	. ()		
University Con		onths/\square	Summer
	1		

	PART I. Conflict of Interest Screening
Ì	Please attach an explanatory statement for all "yes" responses.
	1. Do you have a consulting or other financial
1	relationship with a sponsor of your research? yes* no
	2. Do you or does any member of your family ²
	have a managerial role or a significant ³
	financial relationship with a company that
	does business with the University or with a
	company in a field of your research? yes* I no
Ì	3. Do you have non-University professional
٠	activities or income producing activities
	involving University of Illinois students, or
	other faculty or staff?
	4. Do you or does any member of your immediate
	family have any other relationships,
	commitments, or activities that might present or
	appear to present a conflict of interest or
	commitment with your University of Illinois
	appointment? Such relationships may include
	financial or fiduciary interests or
	uncompensated activities. Report these whether
	or not you believe the conflict is manageable. yes* no
	*Please list and explain in an attached statement any "yes"
	responses to the questions above. Lists in Part II do NOT
•	suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an ownership interest in this	2007-2008 Aug.16 - Aug.15	2008-2009 Aug.16 - Aug.15	
Nature of your activities (see instructions for	For whom (e.g.,	company / organization? (If so, please explain in	Retrospective Days Spent During	Prospective Days to be Spent in	
examples)	company/ organization)	an attached statement.)	Current Reporting Period		

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I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

Administrative Review and Approval, UIC RNUA 2008-2009
(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

		nflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
Α.	Based on the	e activity reported and to the best of my knowledge and in my judgment:
		No conflict of interest or commitment exists.
		A conflict of interest or commitment may exist, but is being monitored by the department. <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
		A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
В.	As describ	polete if question 3 on page 1 of the form is answered affirmatively: oed by the academic staff member, the involvement of University of Illinois students, faculty ff in his/her non-University activities does not appear to be detrimental to those individuals.
		Agree
		Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
DADO		
\$2000 BREEK PROPERTY AND THE	NUMBER OF THE PROPERTY OF THE PARTY.	oroval of Activities (Please attach a copy of any referenced explanation.) ve Activities (2007-2008)
		No retrospective activities are reported or all retrospective activities are approved.
		Some or all retrospective activities are not approved.
	ш.	If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
В.	Prospective	Activities (2008-2009)
		No prospective activities are reported or all prospective activities are approved.
		Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
Th	e above infor	mation is correct and complete to the best of my knowledge.
Un	nit Head Signa	ature Date
PAR'	ΓVI. Re	eview and Approval of Activities by Dean and Others as Required.
	ean/Director/Vapproval nee	
	dditional Revi ignatures)	Date
		Date

Last Name:

Pinu Form



University of Illinois at Chicago

Academic Staff

2008-2009 Report of
Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

ANDERSON

Dan Pagar.	
First Name:	TANYA R.
Title / Rank:	ASSOCIATE PROFESSOR
College:	MEDICINE
Dept. / Unit:	PSYCHIATRY
Appointment	<u>100</u> %
University Con	ntract Period ¹]10 months/\(\overline{\Summer}\)

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* 2 no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* 🗵 no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part H do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity
- * Attach additional sheets if necessary

2007-2008 Do you have an 2008-2009 ownership interest in this Aug.16 - Aug.15 Aug.16 - Aug.15 Nature of your activities company / organization? Retrospective Prospective (see instructions for For whom (e.g., (If so, please explain in Days Spent During Days to be Spent in examples) company/ organization) an attached statement.) this Reporting Period Current Reporting Period

► I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Aftirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest^d and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated

Academic Staff Member's Signature Staff Member

1 Check all that apply. The University contract period includes evenings, weekends and hulidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,080 by representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code () Illinois Compiled Statutes \$00/50-13) prohibits the award of University contracts to companies in which University employees who can more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor's salary \$177,412 as of July 1, 2008.)

The University Policy on Conflicts of Cammitment and Ingress is available at Imprisoners upgatuillinois edulpolicies conflict toc.asp

	oulliet of Interest/Commitment Review (Please attached copy of any referenced explanation.)
A. Based on the	he activity reported and to the best of my knowledge and in my judgment:
	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department.
	If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
	11.50, 19848 and an explanation und forward to the next dammstrative level (Dean, Director, or vice presented)
	replace if question 3 on page 1 of the form is answered affirmatively:
As descri	ibed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
L_J	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Ap	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospect	ive Activities (<u>2007-2008)</u>
	No retrospective activities are reported or all retrospective activities are approved.
. 🔲 .	Some or all retrospective activities are not approved.
	If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
B. Prospective	e Activities (<u>2008-2009</u>)
\r_\	Management of the second of th
	No prospective activities are reported or all prospective activities are approved.
لسا	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The phoses in fa	
	ermation is convect and complete to the best of my knowledge.
Unit Head Sign	nature (CIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII
PART VI. R	eview and Approval of Activities by Dean and Others as Required.
Desn/Director/ (If approval nee	-
Additional Rev (Signatures)	
(4.5. mar sh)	Date
	Date
	• • •

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Astrachan-Fletcher	
First Name:	Ellen	
Title / Rank:	Assistant Professor	
College:	Medicine	
Dept. / Unit:	Psychiatry	
Appointment	<u>65_</u> %	
University Con 9 months/	tract Period¹]10 months/⊠12 months/⊡Summer	

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family ² have a managerial role or a significant ³
financial relationship with a company that does business with the University or with a company in a field of your research? yes* 🗵 no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* X no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do <u>not</u> include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
-				
	<u> </u>		1	1

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. <u>If significant changes in activities occur during the year, this form must be updated.</u>

Academic Staff Member's Signature / / / / / / Please submit to your unit head for administrative review and approval.

administrative review and approval.

ct period includes evenings, weekends and holidays during the term of employment

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Yamily" as one's spouse and children.

The University Pathog an Conflicts of Commitment and Interest is available at Map/www.spaa.aillinaiseolulpaheies/conflict_incasp

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois

1. (3 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than

1. (4) of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor.

1. (5 Contracts a salary \$1.77.51.2 as of table 1. (1003.2)

	nflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	e activity reported and to the best of my knowledge and in my judgment:
X	No conflict of interest or commitment exists.
′□	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As describ	plete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty ff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
PART V An	proval of Activities (Please attach a copy of any referenced explanation.)
	ve Activities (2007-2008)
Z	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved.
	If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective	Activities (2008-2009)
×	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above infor	mation is correct and complete to the best of my knowledge.
Unit Head Signa	ature (Muylll bue M) Date 9/23/08
PART VI. Re	eview and Approval of Activities by Dean and Others as Required.
D	ZD Ci-markova
Dean/Director/\ (If approval nee	
Additional Revi	
(Signatures)	Date
	Date

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Baptiste	
First Name:	Donna	
Title / Rank:	Associate Professor	
College:	Medicine	
Dept. / Unit:	Psychiatry IJR	
Appointment	<u>100</u> %	
University Con 9 months/	ntract Period¹]10 months/⊠12 months/⊡Summer	

DADEL C. C. A. A. Trakewest Covering
PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial
relationship with a sponsor of your research? yes* yes* no
2. Do you or does any member of your family ²
have a managerial role or a significant'
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* X no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* yes* no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

areas of ville of the first		2007-2008	2008-2009
		Aug.16 - Aug.15	Aug.16 - Aug.15
		Retrospective	<u>Prospective</u>
For whom (e.g.,		Days Spent During	Days to be Spent in
	an attached statement.)	this Reporting Period	Current Reporting Period
, , , , , , , , , , , , , , , , , , ,			
1			
	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? For whom (e.g., (If so, please explain in	ownership interest in this company / organization? (If so, please explain in Aug.16 - Aug.15 Retrospective Days Spent During

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.
Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the activity reported and to the best of my knowledge and in my judgment:
No conflict of interest or commitment exists.
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.
Agree Agree
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospective Activities (2007-2008)
No retrospective activities are reported or all retrospective activities are approved.
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective Activities (2008-2009)
No prospective activities are reported or all prospective activities are approved.
Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above information is correct and complete to the best of my knowledge.
Unit Head Signature Ullull Dull Date 10/6/08
PART VI. Review and Approval of Activities by Dean and Others as Required.
Dean/Director/VP Signature (If approval needed) Date
Additional Reviews (Signatures) Date
Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

**
Last Name: BASLET
First Name: 6ASTON
Title/Rank: ASSIST. PROF. OF CLINICAL PSYCH
College: MEDIC(NE
Dept. / Unit: PSYCHIATRY
Appointment 00 %
University Contract Period ¹ 9 months/10 months/12 months/12 summer

1	P	ART L. Conflict of Interest Screening
2	$Pl\epsilon$	ease attach an explanatory statement for all "yes" responses.
	1.	Do you have a consulting or other financial relationship with a sponsor of your research? yes* no
	2.	Do you or does any member of your family ²
		have a managerial role or a significant ³
		financial relationship with a company that
		does business with the University or with a
		company in a field of your research? yes* no
	3.	Do you have non-University professional
		activities or income producing activities
		involving University of Illinois students, or
		other faculty or staff?
	4.	Do you or does any member of your immediate
		family have any other relationships,
		commitments, or activities that might present or
		appear to present a conflict of interest or
		commitment with your University of Illinois
		appointment? Such relationships may include
		financial or fiduciary interests or
		uncompensated activities. Report these whether
-		or not you believe the conflict is manageable. yes* no
		Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
		esponses to the questions above. Lists in Part II do NOT
	sı	ıffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.

T 0000 0000

- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	<u>Prospective</u>
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
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	7		POLITORD TO DED	ODT

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I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

1 2000 2000

Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment. University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

PART IVCo	onflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	he activity reported and to the best of my knowledge and in my judgment:
A	No conflict of interest or commitment exists.
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
. 🗆	A conflict of interest or commitment may exist that warrants further review. <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
As descr	ibed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V. Ar	proval of Activities (Please attach: a copy of any referenced explanation.)
	tive Activities (<u>2007-2008)</u>
\propto	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospectiv	ve Activities (<u>2008-2009</u>)
K	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above inf	formation is correct and complete to the best of my knowledge.
Unit Head Sig	
PART VI. T	Review and Approval of Activities by Dean and Others as Required.
Dean/Directo (If approval n	r/VP Signature peeded) Date
Additional Re (Signatures)	eviews Date
	Date

Print Form

Clear Form

University of Illinois at Chicago

Academic Staff

2008 2009 Penert of

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

East Name: Bell MD
First Name: Car
Title/Rank: Clinical Professor
College: Medicine
Dept./Unit: Psychiatry
Appointment 20%
University Contract Period ¹ 9 months/10 months/12 months/Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
Do you have a consulting or other financial
relationship with a sponsor of your research? yes* no
2. Do you or does any member of your family
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships.
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* in no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

F			Do you have an	2007-2008	2008-2009
			ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
1	Nature of your activities		company / organization?	Retrospective	Prospective
1 '	see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
1 \	examples)	company/organization)	an attached statement.)	this Reporting Period	Current Reporting Period
1		· ·	-		
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1					

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

Carle Bllm Date 9-22-08

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500 50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 172% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

		nflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A.	Based on the	e activity reported and to the best of my knowledge and in my judgment:
	X	No conflict of interest or commitment exists.
		A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
		A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
В.	As describ	elete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty if in his/her non-University activities does not appear to be detrimental to those individuals.
		Agree
		Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
PART		proval of Activities (Please attach a copy of any referenced explanation.)
A.	Retrospectiv	re Activities (<u>2007-2008)</u>
	X	No retrospective activities are reported or all retrospective activities are approved.
•		Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
В.	Prospective	Activities (2008-2009)
	₩.	No prospective activities are reported or all prospective activities are approved.
		Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The	e above inforr	nation is correct and complete to the best of my knowledge.
	it Head Signa	A = A + A + A + A + A + A + A + A + A +
PART	VI. Re	view and Approval of Activities by Dean and Others as Required.
Dea	an/Director/V	P Signature
	approval need	
	ditional Revie	
(Si	gnatures)	Date
		Date

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name: BHAUMIK
First Name: DULAL
Title / Rank: PROFESSOR
College: Medeline
Dept. / Unit: Psychiatry
Appointment 1 1/2 %
University Contract Period ¹ 9 months/10 months/12 months/Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* x no
2. Do you or does any member of your family ²
have a managerial role or a significant ³
financial relationship with a company that
does business with the University or with a
company in a field of your research? yes* no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff? yes* 💢 no
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* X no
*Please list and explain in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

2008-2009 2007-2008 Do you have an Aug.16 - Aug.15 ownership interest in this Aug.16 - Aug.15 **Prospective** company / organization? Retrospective Nature of your activities Days to be Spent in Days Spent During (If so, please explain in For whom (e.g., (see instructions for this Reporting Period Current Reporting Period an attached statement.) examples) company/ organization)

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is. true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated

Academic Staff Member's Signature Please submit to your unit head for administrative review and approval.

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explana	tion.)
A. Based on the activity reported and to the best of my knowledge and in my judgment:	
No conflict of interest or commitment exists.	
A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice P.)	resident.)
A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice P	resident.)
B. Please complete if question 3 on page 1 of the form is answered affirmatively: As described by the academic staff member, the involvement of University of Illinois students, facu and/or staff in his/her non-University activities does not appear to be detrimental to those individual	
Agree	
Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice P	resident.)
PART V. Approval of Activities (Please attach a copy of any referenced explanation.)	
A. Retrospective Activities (2007-2008)	
No retrospective activities are reported or all retrospective activities are approved.	•
Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice F	President.)
B. Prospective Activities (2008-2009)	
No prospective activities are reported or all prospective activities are approved.	
Some or all declared prospective activities are not approved.	ideny.j
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice P	1
The above information is correct and complete to the best of my knowledge.	lyh Mgl
Unit Head Signature Ullul Date 10/108	urus Posusuk
D. DELY D. G. L. C. C. L. D. C	
PART VI. Review and Approval of Activities by Dean and Others as Required.	
Dean/Director/VP Signature (If approval needed) Date	
Additional Reviews (Signatures) Date	
Date	

PARTIV. Co	inflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on th	e activity reported and to the best of my knowledge and in my judgment:
X	No conflict of interest or commitment exists.
Ъ	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As describ	plete if question 3 on page 1 of the form is answered affirmatively: bed by the academic staff member, the involvement of University of Illinois students, faculty ff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree <u>If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)</u>
PART V. Api	proval of Activities (Please attach a copy of any referenced explanation.)
A. Retrospecti	ve Activities (<u>2007-2008)</u>
	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved.
	If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President)
B. Prospective	Activities (<u>2008-2009</u>)
W.	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above infor	mation is correct and complete to the best of my knowledge.
Unit Head Signa	ature (Illustually) Date 10/1 (08
PART VI. Re	eview and Approval of Activities by Dean and Others as Required.
Dans/Dispatas/N	7D Claustows
Dean/Director/V (If approval nee	
Additional Revi	iews
(Signatures)	Date
	Date

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Burke		
First Name:	Erin		
Title / Rank:	MD		
College:			
Dept. / Unit:	Psychiatry		
Appointment	<u>25_</u> %		
University Contract Period¹ ☐9 months/☐10 months/☐12 months/⊠Summer			

PART I. Conflict of Interest Screening		
Please attach an explanatory statement for all "yes" responses.		
1. Do you have a consulting or other financial relationship with a sponsor of your research? yes* × no		
2. Do you or does any member of your family ² have a managerial role or a significant ³ financial relationship with a company that does business with the University or with a		
company in a field of your research? yes* 🗵 no		
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or		
other faculty or staff?		
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. yes* X no		
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"		
responses to the questions above. Lists in Part II do NOT		
suffice as explanation.		

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

nours equals one day, regu		Do you have an ownership interest in this	2007-2008 Aug.16 - Aug.15	2008-2009 Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
	<u> </u>		TO THE TO THE	

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Please submit to your unit head for administrative review and approval.

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

	onflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)
A. Based on the	ne activity reported and to the best of my knowledge and in my judgment:
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	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
As descri	bed by the academic staff member, the involvement of University of Illinois students, faculty aff in his/her non-University activities does not appear to be detrimental to those individuals.
	Agree
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
PART V An	proval of Activities (Please attach a copy of any referenced explanation.)
	ive Activities (2007-2008)
	No retrospective activities are reported or all retrospective activities are approved.
	Some or all retrospective activities are not approved.
	If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
B. Prospective	e Activities (2008-2009)
X	No prospective activities are reported or all prospective activities are approved.
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
The above info	rmation is correct and complete to the best of my knowledge.
Unit Head Sign	
PART VI. R	eview and Approval of Activities by Dean and Others as Required.
Dean/Director/ (If approval nea	
Additional Rev (Signatures)	iews Date
	Date

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR **APPROVAL**

Last Name:	Randall
First Name:	Carter
Title / Rank:	Assistant Professor
College:	Medicine
Dept. / Unit:	Psychiatry
Appointment	100%
University Co	ntract Period¹]10 months/⊠12 months/⊡Summer

PART I. Conflict of Interest Screening
Please attach an explanatory statement for all "yes" responses.
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☐ no
2. Do you or does any member of your family have a managerial role or a significant 3
financial relationship with a company that does business with the University or with a
company in a field of your research? yes* no
3. Do you have non-University professional
activities or income producing activities
involving University of Illinois students, or
other faculty or staff?
4. Do you or does any member of your immediate
family have any other relationships,
commitments, or activities that might present or
appear to present a conflict of interest or
commitment with your University of Illinois
appointment? Such relationships may include
financial or fiduciary interests or
uncompensated activities. Report these whether
or not you believe the conflict is manageable. yes* no
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"
responses to the questions above. Lists in Part II do NOT
suffice as explanation.
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PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples) Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.) Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.) Prospective Days Spent During this Reporting Period Current Reporting	in
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I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation		
I affirm that I have read the Univ	ersity's Policy on Conflicts of Commitn	ment and Interest ⁴ and the above information is
true to the best of my knowledge.	If significant changes in activities occur	r during the year, this form must be updated.
A andomia Staff Member's Signature	a Coll dater	Date $9/30/08$

Please submit to your unit head for administrative review and approval. 1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

PART IV. C	onflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)			
A. Based on the activity reported and to the best of my knowledge and in my judgment:				
VZ	No conflict of interest or commitment exists.			
	A conflict of interest or commitment may exist, but is being monitored by the department. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
	A conflict of interest or commitment may exist that warrants further review. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
A 1	inplete if question 3 on page 1 of the form is answered affirmatively: ribed by the academic staff member, the involvement of University of Illinois students, faculty taff in his/her non-University activities does not appear to be detrimental to those individuals.			
	Agree			
	Disagree If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
DADEN A	pproval of Activities (Please attach a copy of any referenced explanation.)			
A Retrospec	ctive Activities (2007-2008)			
	No retrospective activities are reported or all retrospective activities are approved.			
	in a state of the parameter opproved			
	Some or all retrospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
B. Prospecti	ve Activities (<u>2008-2009</u>)			
M	No prospective activities are reported or all prospective activities are approved.			
	Some or all declared prospective activities are not approved. If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)			
The above in:	formation is correct and complete to the best of my knowledge.			
	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			
Unit Head Si				
PART VI.	Review and Approval of Activities by Dean and Others as Required.			
Dean/Directo	or/VP Signature			
(If approval 1				
Additional R (Signatures)	eviews Date			
	Date			

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Carter Porges			
First Name:	Carol Sue			
Title / Rank:	Professor			
College:	Medicine			
Dept. / Unit:	Psychiatry			
Appointment	<u>100</u> %			
University Contract Period ¹ Summer University Contract Period U				

PART I. Conflict of Interest	Screening
Please attach an explanatory statement	for all "yes" responses.
1. Do you have a consulting or other fir relationship with a sponsor of your relationship with a sponsor of your relationship.	
2. Do you or does any member of your have a managerial role or a signification financial relationship with a compan does business with the University or company in a field of your research?	family ² nt ³ y that with a
3. Do you have non-University profess: activities or income producing activitinvolving University of Illinois stude other faculty or staff?	ional ties
4. Do you or does any member of your family have any other relationships, commitments, or activities that migh appear to present a conflict of interest commitment with your University of appointment? Such relationships may financial or fiduciary interests or uncompensated activities. Report the	immediate It present or st or
or not you believe the conflict is mar	
*Please <u>list</u> and <u>explain</u> in an attached	
responses to the questions above. Lists	in Part II do NOT
suffice as explanation.	

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do <u>not</u> need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
		ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
·				

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. <u>If significant changes in activities occur during the year, this form must be updated.</u>

Academic Staff Member's Signature Cart Sus Carter Porges Date Sept 21, 2008

Please submit to your unit head for administrative review and approval.

1 Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

University of Illinois at Chicago Academic Staff

2008-2009 Report of Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:	Brune		
First Name:	Camille		
Title / Rank:	Vst Research Assistant Professor		
College:	Medicine		
Dept. / Unit:	Psychiatry		
Appointment	50_%		
University Con	tract Period ¹]10 months/🗵12 months/☐Summer		

PART I. Conflict of Interest Screening					
Please attach an explanatory statement for all "yes" responses.					
1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no					
2. Do you or does any member of your family ² have a managerial role or a significant ³					
financial relationship with a company that					
does business with the University or with a					
company in a field of your research? yes* X no					
3. Do you have non-University professional					
activities or income producing activities					
involving University of Illinois students, or					
other faculty or staff?					
4. Do you or does any member of your immediate					
family have any other relationships,					
commitments, or activities that might present or					
appear to present a conflict of interest or					
commitment with your University of Illinois					
appointment? Such relationships may include					
financial or fiduciary interests or					
uncompensated activities. Report these whether					
or not you believe the conflict is manageable. yes* yes					
*Please <u>list</u> and <u>explain</u> in an attached statement any "yes"					
responses to the questions above. Lists in Part II do NOT					
suffice as explanation.					

PART II. Listing of Non-University Income Producing Activities

- If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

		Do you have an	2007-2008	2008-2009
	1	ownership interest in this	Aug.16 - Aug.15	Aug.16 - Aug.15
Nature of your activities		company / organization?	Retrospective	Prospective
(see instructions for	For whom (e.g.,	(If so, please explain in	Days Spent During	Days to be Spent in
examples)	company/ organization)	an attached statement.)	this Reporting Period	Current Reporting Period
	1		1	L

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Please submit to your unit head for administrative review and approval.

Date 9/25/08

Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

2 University Policy defines "Family" as one's spouse and children.

3 Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)